

**From:** Dr. Roshan Bastani <bastani@ucla.edu>  
**Sent:**  
**To:** Hilary Arnold Godwin <hgodwin@ucla.edu>  
**Subject:** UC Regents adopt policy for reviewing research funding from tobacco industry

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Hi Hilary and Curt,  
Please see link and article regarding UC Regents' new policy on funding from tobacco industry that will affect any new grants that Jim Enstrom submits. Please instruct your MSO or anyone else responsible for preparing grant submissions not to process Enstrom submissions unless approved by you and by me as not involving tobacco industry funding. The name of the agency listed on such applications is often misleading and usually does not contain the name of the parent company. It may also be time to re-visit Jim's situation in your department and the school. It would also be good to have a listing of all grants that Jim now has, and the associated agencies. Thanks.  
Roshan

<http://www.universityofcalifornia.edu/news/2007/sep20b.html>

FOR IMMEDIATE RELEASE  
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UC Regents adopt policy for reviewing research funding from tobacco industry

The University of California Regents today (September 20) adopted a new policy that establishes special review and approval procedures for research proposals involving funds from tobacco companies or affiliated agencies.

The regents passed RE-89 by a 14-4 vote.

Specifically, the new policy has a combination of features including:

- \* Establishing scientific peer review by committee and approval from UC chancellors for any research proposals seeking funds from tobacco-affiliated companies. The scientific review committee will be drawn from a community of scholars, which will work with campus chancellors in helping to determine whether to approve a proposal. Additionally, regents will be provided with timely notice of the relevant grants and a description of the research to be undertaken.
- \* Requiring an annual report to regents on proposals and research involving or seeking funds from tobacco-affiliated companies. The report will summarize the number of proposals submitted to the scientific review committee, the number approved and the number funded, along with a description or abstract of each proposal.
- \* Developing a regental statement to UC researchers that among other things, upholds academic freedom, expresses concern about the tobacco industry and asks researchers to exercise professional and ethical care.

Previously, individual researchers could accept funding from any source as long as the funds complied with applicable University (conflict of interest, publication, etc.) policies.

At the end of fiscal year 2006-07, UC researchers held 23 active grants, totaling \$16 million, from sponsors with known ties to the tobacco industry. All of this funding, which supports research and related activities on the Berkeley, Davis, Irvine, Los Angeles, Riverside, Santa Barbara and San Diego campuses, is from Philip Morris USA.

The policy will apply only to awards made in response to new