

# IMPACT OF LOW-EMISSION DIESEL ENGINES ON UNDERGROUND MINE AIR QUALITY

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DEEP did not support, authorize or technically review this report and takes no responsibility for its content. DEEP, however, is providing the report on its Website because it is important for parties interested in diesel engine performance to be aware of the work.

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## LIST OF ABBREVIATIONS

ACGIH	American Conference of Governmental Industrial Hygienists
CNC	condensation nuclei counter
CPC	condensation particle counter
DEEP	Diesel Emissions Evaluation Program (Canada)
DC	diffusion charger
DOC	diesel oxidation catalyst
DMSO	dimethyl sulfoxide
DPM	diesel particulate matter
EC	non-volatile, elemental carbon (component of DPM)
EC <sub>50pct</sub>	50-pct-collection efficiency point
EI	Electron Ionization
EPA	U.S. Environmental Protection Agency
GCMS	gas chromatography/ mass spectrometry
HEI	Health Effects Institute
IARC	International Agency for Research on Cancer
MOUDI	micro-orifice uniform deposit impactor
MSA	Mine Safety Appliance
MSHA	Mine Safety and Health Administration
MTU	Michigan Technological University
NIOSH	National Institute for Occupational Safety and Health
NIST	National Institute of Standards
NO <sub>2</sub>	nitrogen dioxide
NO <sub>x</sub>	oxides of nitrogen
NT	Not Tested
OC	adsorbed or condensed hydrocarbons (associated with EC; component of DPM)
PAH	polycyclic aromatic hydrocarbon
PAS	photoelectric aerosol sensor
PM10	particulate matter with diameter <10 μm
RAM-1	Real-time Aerosol Monitor

RCD	respirable combustible dust
RD	respirable dust
RH	relative humidity
S	saturation ratio
SD	standard deviation
SOF	DPM-associated soluble organic fraction
SS	size selective
TC	total carbon (consisting of EC plus OC)
TLV	threshold limit value
TRK	technical exposure limits (translation from German)
UMN	University of Minnesota
UV	Ultraviolet

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## ABSTRACT

The overall objective of the research project was to ensure that no new health hazards were introduced into the underground mine environment by the use of modern, electronically controlled, low emission diesel engine technology. This was accomplished by evaluating the effects these diesel engines (operated with low sulfur fuel) had on the mass concentrations, chemical composition and physical characteristics of diesel particulate matter (DPM) in a domal salt mine. The study was modeled after previous studies in which the mass concentration, chemical composition and physical characteristics of DPM were determined before and after the introduction of exhaust aftertreatment or alternative fuel to evaluate their effectiveness in reducing mass emissions.

Sampling was conducted over a two-week period at several locations within the mine. The same protocol was followed during each week, except when different diesel-powered vehicles were used. During the first week, 1990 Caterpillar 3408 C, mechanically controlled engines were used; during the second week, 1998 Caterpillar 3408 F, electronically controlled engines were used. The same low sulfur (34.2 ppm) fuel was used throughout the study.

A variety of samples were collected to determine control efficiency and to determine the changes in the biological, chemical, and physical nature of the diesel aerosol attributable to the use of low-emission engines. DPM concentrations were determined using elemental carbon (EC) and size selective (SS) sampling techniques. The EC technique also provided information on total carbon (TC) and organic carbon (OC) levels. The SS technique provided information on respirable dust (RD) and  $<0.8 \mu\text{m}$  particle concentrations. Several near real-time instruments were used for monitoring the diesel aerosol in the mine, i.e., portable diffusion chargers (DC) for total surface area, portable photoelectric aerosol sensors (PAS) for total surface-bound polycyclic aromatic hydrocarbons (PAH), and condensation particle counters (CPC) for particle numbers. High-volume samplers were used to obtain DPM samples for determination of the specific PAH and mutagenic activity associated with the adsorbed organics.

In areas where the diesels were operating, the TC and EC were reduced by at least 60% with use of the electronically controlled diesel engines. OC levels were also reduced but to a lesser extent, consistent with the engine's technology changes affecting the EC component of the DPM. As determined from the SS samples, the reductions in DPM at the downwind location were not as great as for the TC (about 40% decreases in  $<0.8 \mu\text{m}$  particles and RD). As determined in this mine, the DPM levels with the modern engines (and no control devices) would not reach the target value of  $0.15 \text{ mg/m}^3$ . The EC and SS sampling methods were both found to work well in an underground mine environment.

All of the near real-time aerosol measurements at the downwind location (DC, PAS, and CPC) showed about 50 to 60% decrease in levels with use of the electronically controlled, low-emission engines. The magnitude of the decreases was similar to that found for EC and TC at the same locations, indicating that these parameters have close correlations. There was also no evidence of increased production of nano- (or nuclei-mode) particles with use of the low emission engines. This study also demonstrated that instruments such as the DC, PAS and CPC could be used to track diesel activity on a real time basis in underground mines.

The PAH and biological (mutagenic) activity levels (associated with the organics extracted from DPM collected with the high-volume samplers) also showed large decreases with use of the electronically controlled diesel engines (by up to about 90% and 65%, respectively).

Overall, use of electronically controlled, modern diesel engines with a low sulfur fuel in this underground mine resulted in large reductions in DPM and all DPM-related components. The measured potentially health related components showed similar reductions. However, the use of these engines cannot be relied upon to reduce concentrations below 0.15 mg/m<sup>3</sup> in all circumstances.

## **SIGNIFICANT FINDINGS**

The use of electronically controlled, modern diesel engines can substantially improve mine air quality with respect to DPM concentrations, but it cannot be relied upon to reduce concentrations below  $0.15 \text{ mg/m}^3$  in all circumstances. Regardless of the metric used to measure DPM concentrations, a substantial reduction in DPM levels was observed at the downwind sampling location in this study. The traditional metrics, total carbon and the  $<0.8 \text{ }\mu\text{m}$  respirable fraction were reduced by  $>60\%$  and  $43\%$ , respectively. The nontraditional continuous reading instruments (DC, PAS and CPC) all showed  $>50\%$  reductions. However, the electronically controlled modern engine alone did not reduce DPM concentrations below  $0.15 \text{ mg/m}^3$ . At this mine, a combination of control technologies will be needed to reduce DPM to allowable levels. (Since the completion of the study, the mine has added another ventilation raise to double the amount of fresh air in the mine.) Further control technology may be required to meet future regulatory levels for DPM.

Evidence from this study suggests that the use of electronically controlled low emission engines at this mine did not introduce new hazards into the mine from an air quality perspective. There was no evidence of increased levels of nuclei mode particles; in fact the total number particle concentration was reduced by  $50\%$ . Levels of polycyclic aromatic hydrocarbons and biological activity (mutagenicity) associated with the DPM also decreased by up to  $90\%$  with use of the low emission engines. A larger reduction in elemental carbon was observed than organic carbon at the downwind location. This finding is in agreement with what is known about low emission diesel engines, i.e., the greatest reduction in DPM emissions is achieved with solid carbon particles. Further reduction in organic carbon emissions would be achieved through the use of catalyst technology; however, these catalysts are known to increase  $\text{NO}_2$  emissions to concentrations that exceed regulated levels in mines.

## **USEFULNESS OF FINDINGS**

Findings from this study are applicable to other underground mining operations where large diesel engines are used with minimal ventilation. Limestone, salt and other nonmetal mines frequently have minimal ventilation because quartz, dust, radon, or other substances with low allowable levels have not been problems in the past. These operators will benefit from having a ballpark estimate of how much of a reduction might be expected from investing in low emission engine technology.). It is possible that other underground mines with higher ventilation levels may see even lower DPM levels. Further, the study demonstrates that instruments such as the DC, PAS and CPC can be used to track diesel activity on a real time basis. (Note: A hand-held CPC is now commercially available.) If these instruments were used underground on a regular basis operators could establish a baseline concentration that could be used to signal a warning should the baseline be exceeded.

# SCIENTIFIC REPORT

## BACKGROUND

### Occupational Health Concerns And Standards

Diesel exhaust is a complex mixture of noxious gases and diesel particulate matter (DPM). DPM consists of nonvolatile, elemental carbon (EC), adsorbed or condensed hydrocarbons referred to as organic carbon (OC), sulfates, and trace quantities of metallic compounds (HEI, 1995). Total carbon (TC) is composed of EC plus OC.

Attention has focused on the potential carcinogenicity of DPM and the potential health impact on miners. In 1988, the National Institute for Occupational Safety and Health (NIOSH) recommended that whole diesel exhaust be regarded as a "potential occupational carcinogen", and stated that reductions in workplace exposure would reduce cancer risks (NIOSH, 1988). In 1989, the International Agency for Research on Cancer (IARC) declared, "diesel engine exhaust is probably carcinogenic to humans" (IARC, 1989).

There is a considerable difference in recommended or established allowable concentrations for DPM in the workplace. In 1995, the American Conference of Governmental Industrial Hygienists (ACGIH) added DPM to the Notice of Intended Changes for 1995-96 with a threshold limit value (TLV<sup>TM</sup>) recommendation of 0.15 mg/m<sup>3</sup> (ACGIH, 1995). DPM of < 1.0 µm in size remained on the ACGIH Notice of Intended Changes in 1999 but with a reduction in the proposed TLV to 0.05 mg/m<sup>3</sup>. The Notice of Intended Changes for 2001 will further modify the DPM recommendations (ACGIH 2001). ACGIH has included diesel exhaust particulate, as EC with a time weighted average TLV of 20 µg/m<sup>3</sup>.

The U. S. Mine Safety and Health Administration (MSHA) promulgated a DPM permissible exposure limit for noncoal mines based upon TC (MSHA, 2001a,b). An interim standard of 0.4 mg/m<sup>3</sup> TC takes effect 18 months after the publication of the final rule. Five years later the standard would be lowered to 0.16 mg/m<sup>3</sup> of TC. Total carbon would be determined using NIOSH method 5040 (NIOSH, 1996).

DPM limits are already in place in Canada and Europe. British Columbia, New Brunswick, Quebec, and Ontario have adopted a 1.5-mg/m<sup>3</sup> level for respirable combustible dust (RCD) (Canadian Mining Regulations, 1997-98). In Switzerland the standard is 0.2 mg/m<sup>3</sup> of TC (SUVA, 1997). It should be noted, however, that diesel soot is listed as a carcinogen; consequently exhaust emissions must be minimized using the best available control technology. SUVA requires that certified traps be used on all construction machines used in tunneling. The Federal Republic of Germany has adopted technical exposure limits (TRK) for the general workplace, tunneling and mining. For the general workplace, the TRK is 0.1 mg/m<sup>3</sup> EC or 0.15 mg/m<sup>3</sup> total carbon (TC) when the sample contains >50% organic material. For mining and tunneling the TRK is 0.3 mg/m<sup>3</sup>. The standard for mining is being revised. Germany also has a similar requirement for the use of exhaust filtration devices. This is demonstrated in German law TRGS 554 (TRGS 900, 1998).

## Physical Characteristics of Diesel Aerosol

Studies have linked environmental exposure to fine particles less than  $2.5 \mu\text{m}$  in size to adverse health effects, (Dockery, et al., 1993, Pope, et al., 1995, Samet, et al., 2000a,b, Oberdörster, et al., 2000 and Lippmann, et al., 2000) although no causal mechanisms have been identified. The relationship between fine particles and health is a logical link because the efficiency of particle deposition in the respiratory tract is a function of particle size. For example, McCawley et al. (2001) recently concluded that ultrafine beryllium number concentration better reflects the risk for chronic beryllium disease than does particle mass concentration. Figure 1 illustrates relationships between idealized combustion aerosol number and mass weighted size distributions (Whitby and Cantrell, 1975) and the alveolar deposition curve (Task Group on Lung Dynamics, 1966, Raabe, 1982). In this case the distribution typifies a diesel aerosol distribution. Figure 1 shows that as the aerosol decrease in size, nanoparticle deposition efficiency increases.

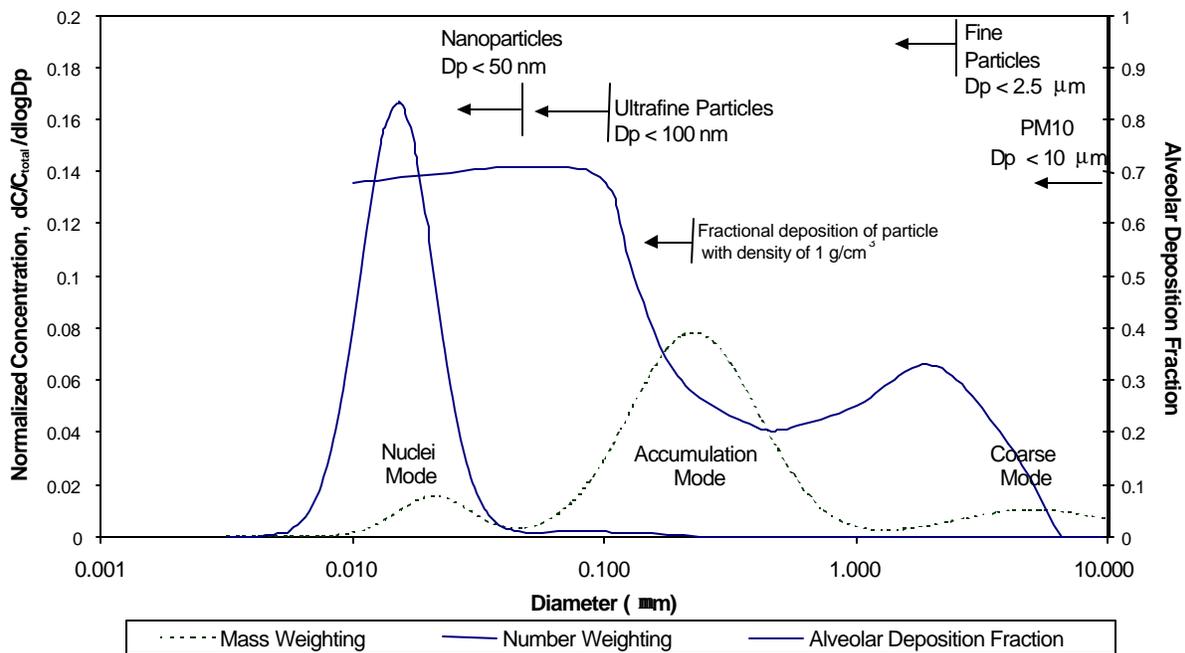


Figure 1. Mass and number weighted diesel aerosol size distribution and the fraction deposited in the alveolar region of the lung.

Combustion aerosol follows a lognormal, trimodal size distribution with the concentration in any size range being proportional to the area under the corresponding curve in that range. Nuclei-mode particles range in diameter from  $0.005$  to  $0.05 \mu\text{m}$  ( $5$ - $50 \text{ nm}$ ). They consist of metallic compounds, EC and semi-volatile organic and sulfur compounds that form particles during exhaust dilution and cooling. For diesel aerosol the nuclei mode typically contains 1-20% of the particle mass and more than 90% of the particle number. The accumulation mode ranges in size from roughly  $0.05$  to  $0.5 \mu\text{m}$  ( $50$ - $500 \text{ nm}$ ). Most of

the mass, composed primarily of carbonaceous agglomerates and adsorbed materials, is found here. The coarse mode consists of particles larger than 1  $\mu\text{m}$  and contains 5-20% of the mass. These relatively large particles are formed by reentrainment of particulate matter, which has been deposited on cylinder, and exhaust system surfaces. The transient nature of the coarse mode makes it difficult to sample and characterize. Also shown in Figure 1 are size range definitions for atmospheric particles: PM10 (diameter  $<10 \mu\text{m}$ ), fine particles (diameter  $<2.5 \mu\text{m}$ ), ultrafine particles (diameter  $<0.10 \mu\text{m}$  or  $<100 \text{nm}$ ), and nanoparticles (diameter  $<0.05 \mu\text{m}$  or  $<50 \text{nm}$ ) (Kittelson, 1998).

Figure 2 (Whitby and Cantrell, 1975) shows the mechanisms, such as condensation and coagulation that transfer aerosol mass from one size range to another. The smallest of the three modes, 0.001 to 0.08  $\mu\text{m}$ , is the Aitken nuclei range, which consists of primary aerosol from combustion sources such as diesel engines and secondary aerosol formed from coagulation of primary aerosols to form chain agglomerates. The next size range, 0.08 to 1.0  $\mu\text{m}$ , is the accumulation range that contains emissions in this size range plus aerosol accumulated by mass transfer through the coagulation and condensation processes from the nuclei range. The last range, 1.0 to approximately 40  $\mu\text{m}$ , is referred to as the coarse aerosol size range. Aerosols within this range generally originate from mechanical processes such as grinding, mechanical fracture and bulk material handling. There is very little exchange of mass from the nuclei and accumulation modes to the coarse particle mode under most conditions.

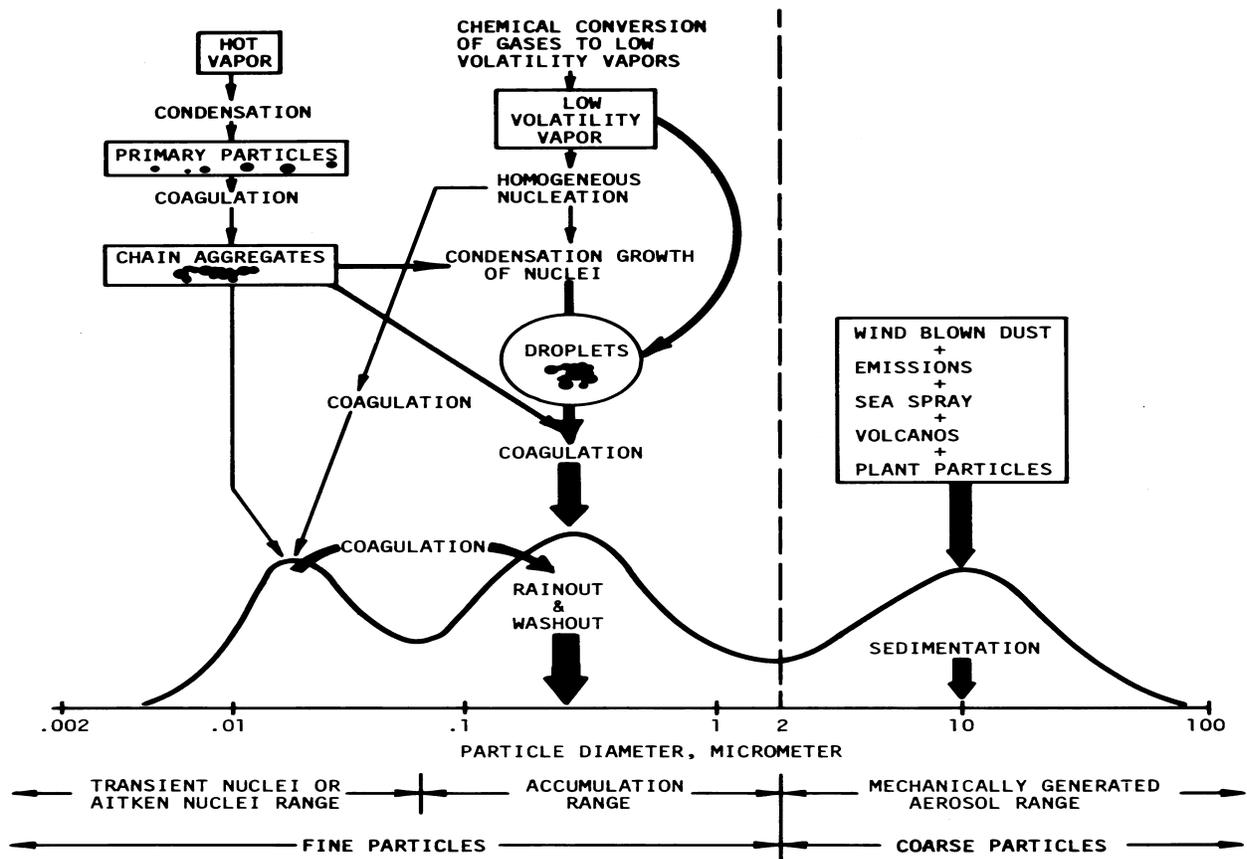


Figure 2. Idealization of an atmospheric surface area distribution showing the principle modes, sources of mass, and the processes involved in mass transfer and removal. (Source: Whitby and Cantrell, 1975)

### Emission Control Underground

Emission controls are used to reduce miner exposure to gaseous and DPM emissions. In 1995, MSHA organized three workshops to discuss the potential health risks to miners from exposure to DPM, ways to measure and control DPM in mine environments, and regulatory or other approaches to ensure a healthful work environment. Out of these workshops came a “toolbox” approach for reducing DPM emissions underground (Watts, 1997). Many strategies are contained in the “toolbox” for reducing emissions, but four hold great promise; low-emission engines, low sulfur fuel, modern Diesel Oxidation Catalysts (DOCs), and catalyzed filtration devices. These are briefly described.

Low emission engines are produced by engine manufacturers to meet stringent, on-highway EPA regulations, which have driven DPM emissions levels on the Federal Transient Cycle from 0.6 g/hp-hr in 1988 to less than 0.1 g/hp-hr in 1994 (EPA, 1997). These engines typically operate at high fuel injection pressures that provide a more efficient and complete combustion of fuel. In addition they are frequently turbocharged to optimize power,

performance, and emissions. Aftercooling is used to reduce oxides of nitrogen (NO<sub>x</sub>). Electronic engine control optimizes the fuel timing and delivery rate, resulting in lower emissions. As a result of these and other improvements, on-highway heavy-duty diesel engine emissions, as measured in the laboratory, have been significantly reduced. However, emission reductions from these engines have not been quantified or characterized in an underground mine environment.

In 1996, the U.S. Environmental Protection Agency (EPA) established emission regulations for almost all land-based nonroad diesels such as construction equipment (EPA, 1997). These regulations specify emission levels that nonroad engines must meet depending on the horsepower of the engine. For now, EPA is regulating only engines between 130 - 560 kW. Particulate emissions were reduced from as high as 1.0 g/hp-hr to 0.4 g/hp-hr and NO<sub>x</sub> emissions dropped to below 6.9 g/hp-hr. EPA has adopted a phase-in period for regulating other horsepower engines, including small engines that would be candidates to be used in utility mine vehicles.

Engines used in underground mines are exempt from EPA regulations, but some engines used underground already meet EPA nonroad diesel requirements, as illustrated in Figure 3. However, future MSHA regulatory requirements will force mine operators to adopt new strategies to reduce DPM exposure. Data collected by MSHA (Dvorznak, 1996), UMN (Waytulonis, 1997), and the Ontario Ministry of Labor (Vergunst, 1997) show that low-emission engines are slowly gaining entrance into the underground mining market. Ontario (Vergunst, 1997) inventoried the diesel equipment used in noncoal mines and found that Detroit Diesel series 50, 60 and 6 V 92 engines account for about 17% of the heavy-duty engines. These engines are electronically controlled with direct fuel injection and are turbocharged with aftercooling. Caterpillar is also gaining market share with the 3176, 3406 and 3408 series engines that have similar features as the Detroit Diesel engines. All of these engines are in the 200 to 500 hp range and have much lower mass emissions than their predecessors. Mine operators recognize that low-emission engines are a promising strategy to reduce exposure underground. Furthermore, they can be used in conjunction with an array of aftertreatment devices and alternative fuels to reduce emissions (Waytulonis, 1992b).

Use of low sulfur diesel fuel (<0.05% sulfur) reduces the sulfate fraction of DPM emissions, reduces objectionable odors associated with diesel use, and allows oxidation catalysts to perform properly (Waytulonis, 1992a). A further benefit in the use of low sulfur fuel is reduced engine wear and maintenance costs. Fuel sulfur content is a particularly important parameter when the fuel is used in low-emission diesel engines equipped with DOCs because catalysts tend to increase the production of sulfates that increase mass emissions. Low sulfur diesel fuel is available nationwide due to EPA regulations.

DOCs are used to reduce the quantity of carbon monoxide and hydrocarbons in diesel exhaust, including aldehydes that can cause eye irritation. DOCs also decrease the soluble organic fraction (SOF) of DPM as well as gas phase hydrocarbons, which can reduce DPM emissions by up to 50%, and reduce associated carcinogenic compounds, such as benzo[a]pyrene, and other polycyclic aromatic hydrocarbons (PAH). However, DOCs do increase nitrogen dioxide (NO<sub>2</sub>) emissions. New catalyst technology and the availability of low (or ultra low) sulfur fuel make the use of DOCs on underground mine vehicles an attractive tool for reducing DPM emissions (McClure, 1992).

# Emerging Technology - Engines

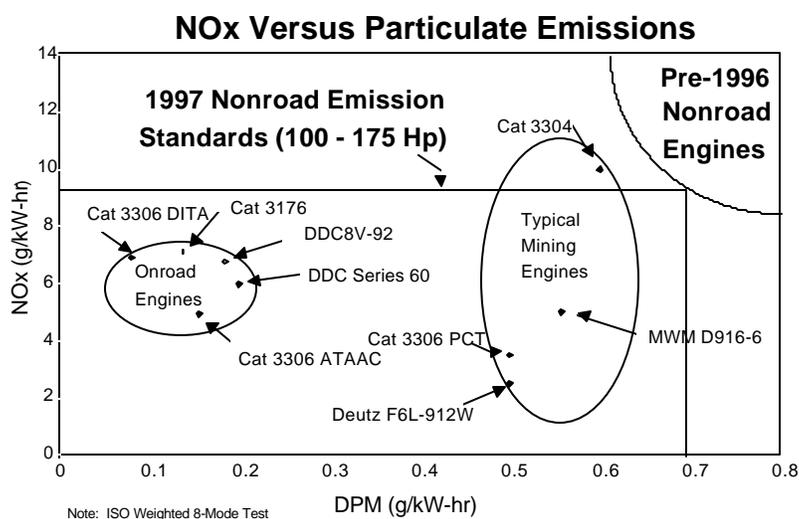


Figure 3. Low emission engine technology.  
(data compiled from published literature)

Catalyst technology can also be used in conjunction with filter technology to reduce both DPM and gaseous emissions. Recently, the Canadian Diesel Emission Evaluation Program (DEEP) undertook a major project to evaluate various types of catalyzed filters in an underground noncoal mine in Sudbury, Ontario. Results from this program are expected in about 24 months. New technology from Europe, Canada and the U.S. is being evaluated to determine control efficiency and life expectancy of these devices (DEEP, 2000).

Further requirements are specified by the diesel rules for underground metal, nonmetal and coal mines promulgated by MSHA in 2001 (MSHA 2001a and 2001b). These rules cover sampling requirements, maintenance and other important parameters needed to monitor and control diesel emissions underground.

## Diesel Aerosol Research Focusing On Nanoparticles

Michigan Technological University (MTU) evaluated the impact of low-emission engine technology on DPM emissions as part of a study sponsored by the Health Effects Institute (HEI) (Bagley, et al., 1996). A prototype, 1991 Cummins LTA10-310 engine, designed to meet 1991 Federal on-highway emissions limits, was evaluated. The engine had a high pressure, mechanically controlled, fuel-injection system, and other design features now commonly used in heavy-duty, high-speed, on-highway diesel engines. MTU showed that this technology significantly reduced mass emissions, but caused a prominent shift in the size distribution of DPM towards smaller nuclei-mode particles when compared to emissions from a 1988 Cummins L10 engine.

Under steady-state conditions, the LTA engine produced up to 40% of the particle volume in the nuclei-mode range with low concentrations of particles in the accumulation

mode range. Previous studies (Dolan, et al., 1980, Baumgard, et al., 1985, Abdul-Khalek, et al., 1995) reported 1-20% of the mass in this range. The major difference between the L10 and LTA engines was the fuel injection system. The LTA engine incorporated a high-pressure fuel-injection system that injected fuel at 1,520 bar compared to 1,240 bar for the L10. The MTU research results showed higher nuclei-mode particles, which were stated to be composed of solid, carbonaceous particles with adsorbed hydrocarbons. MTU and HEI considered the particle size distribution findings preliminary in nature and HEI recommended the findings be verified with representative engines from different manufacturers.

More recent work at the University of Minnesota (UMN) (Abdul-Khalek, et al., 1998) suggested that it was not necessarily injection pressure itself that lead to increased emissions of nanoparticles. A Perkins T4.40, 4 cylinder direct injection, turbocharged and aftercooled diesel operated under ISO type C1 8 mode and type B Universal 11 mode cycles produced the highest injection pressure (1200 bar) in engine mode 1, yet mode 1 produced a relatively small number concentration. Furthermore, a study of the influence of injection pressure on particle mass and number emissions in the 400 to 1000 bar range showed a continuous decrease in both mass and number emissions with increasing pressure (Jing, et al., 1996).

It is likely that higher nanoparticle emissions are a consequence of reducing the mass of particles in the accumulation mode compared to the mass of semi-volatile material likely to become solid or liquid by homogeneous nucleation or condensation/adsorption as the products of combustion expand and cool and then dilute and cool. The driving force for gas-to-particle conversion processes is the saturation ratio,  $S$ , which is the ratio of the partial pressure of a nucleating species to its vapor pressure. For materials like the constituents of the SOF or sulfuric acid, the maximum saturation ratio is achieved during dilution and cooling of the exhaust (Abdul-Khalek, et al., 1999) and typically occurs at dilution ratios between about 5 and 30. The relative rates of nucleation and condensation/adsorption are an extremely nonlinear function of  $S$ . Low values of  $S$  favor adsorption/condensation, and high values favor nucleation. The rate of adsorption/condensation is proportional to the surface area of particulate matter already present (Friedlander, 1977). Thus, the large mass and consequently surface area of soot agglomerates present in the exhaust of old technology engines will take up supersaturated vapors quickly and prevent  $S$  from rising high enough to produce nucleation. On the other hand, in a modern low emission engine there is little soot surface area available to adsorb or condense supersaturated vapors making nucleation more likely. This will be especially true if the solid carbon emissions have been reduced relatively more than sulfuric acid and material that makes up the SOF.

The engine used at MTU emitted low concentrations of particles in the accumulation mode diameter range, where carbonaceous particle agglomerates reside, and had very high SOF ranging from 60 to 75%. These factors would favor nucleation of the SOF as nanoparticles. The high fuel injection pressure and the fuel-air mixing strategy used in their engine probably led to more effective reduction of carbonaceous particles than SOF. Thus, the high injection pressure indirectly led to an increase in number emissions. However, other engine modifications or aftertreatment devices that reduce soot emissions more effectively than SOF or sulfuric acid are also likely to increase particle number emissions. In fact, this was exactly what has been observed downstream of some trap oxidizer systems (Kruger, et al., 1997 and Mayer, et al., 1995).

The same arguments just made about the role of soot agglomerates in suppressing nucleation during dilution and cooling of the exhaust also apply to nucleation of ash

constituents that are volatilized at combustion temperatures, except that in the case of ash constituents the nucleation takes place inside the engine during the expansion stroke immediately after combustion. The term “as” is used to describe inorganic solid materials present in exhaust particulate matter, including metal sulfates and oxides. Prior to the work at the UMN and similar work in Switzerland (Mayer, et al., 1999), there was no evidence of significant solid ash particle nucleation except when high concentrations of metals were added with fuel additives. Tests at the UMN using the Perkins engine suggest that soot emissions may have been reduced to such a low level that the agglomerates no longer provide enough surface area to relieve ash supersaturation and prevent nucleation of ash derived from lube oil. Once ash nuclei are formed they may serve as heterogeneous nucleation sites for SOF and other species during dilution and cooling of the exhaust. In fact, the particles in the high SOF nuclei mode observed in the past may have had ash cores.

Thus, the nanoparticles observed in the diluted exhaust of low emission diesel engines may consist of solid carbon or ash particles, semi-volatile nuclei formed by homogeneous nucleation or semi-volatile nuclei with a solid core formed by heterogeneous nucleation on existing particles. It is clear that a number of factors affect the fine particle aerosol size distributions, and if not properly understood and accounted for, can create an aerosol artifact that is representative of human exposure. Representative measurements of such particles can be made only if the sampling and dilution system simulates atmospheric dilution to the extent necessary to reproduce size distributions observed under atmospheric dilution conditions. Semi-volatile nuclei are the most sensitive to sampling biases, but even with solid particles, care must be taken to avoid coagulation and wall losses. Regardless of how particles are formed, the relationships between lab and atmospheric dilution ratio, dilution rate, saturation ratio and the other processes affecting particle formation must be understood. Ping, et al. (2000), using the same type of engine used by Abdul-Khalek, et al. (1999), reported that the particle size distributions and number concentrations were significantly affected by dilution conditions.

Another problem with laboratory data is that typically the exhaust is diluted with particle free air. This precludes interaction between the exhaust and the particles in the ambient environment. This interaction is strongly influenced by particle size, which determines atmospheric residence time, rates of coagulation, and available surface area for adsorption of semi-volatile materials present in the atmosphere and surface chemical reactions.

The residence time of particles in the atmosphere is particularly important because it impacts what particles are available for inhalation and deposition. The typical residence time for 10 nm particles is quite short on the order of minutes (Harrison, 1996), because these particles diffuse to and coagulate with larger accumulation mode particles. Although their individual particle identity is lost, these particles remain in the atmosphere as part of larger particles, which coincidentally have lower alveolar deposition rates. Particles in the 0.1- to 10- $\mu\text{m}$  diameter range have a much longer residence time on the order of days, while larger particles are removed from the atmosphere quite quickly by gravitational settling.

## **SPECIFIC AIMS**

Low-emission diesel engines were developed by engine manufacturers to meet EPA emission standards. Although engines used in underground mines are exempt from EPA

regulations, data collected by the MSHA, the UMN and the Ontario Ministry of Labor show that low-emission engines are slowly gaining entrance into the underground mining market. However, the impact of use of these modern engines on the mine air quality is unknown, particularly as related to DPM.

MTU has shown in the laboratory that a low-emission heavy-duty diesel engine (with or without a diesel oxidation catalyst or DOC) can significantly reduce DPM emissions, but may alter the chemical, biological, and chemical composition and produces higher levels of fine or nuclei-mode particles (<0.056  $\mu\text{m}$  in diameter) than earlier model engines (Bagley et al., 1996). In general, the MTU findings favor the use of low-emission engines and emission control technology. However, the finding that nuclei-mode particles may increase in number is cause for concern because of the increased lung deposition capability of these particles. This investigation provides additional information on the impact of modern diesel engines on underground noncoal mine air quality.

The **overall objective** of the research project was to ensure that no new health hazards were introduced into the underground mine environment by the use of this modern technology. This was accomplished by evaluating the effects low-emission diesel engines and low sulfur fuel have on DPM concentrations, physical, chemical and biological characteristics of DPM in an underground salt mine.

The specific aims of this proposal were: 1) determination of the physical characteristics of DPM emitted from low-emission engines operated in an underground salt mine environment using size separation techniques, particularly focused on several subfractions of the submicrometer particles (nuclei mode or nanoparticles), 2) extraction and characterization of the soluble organic fraction (SOF) associated with various DPM samples; 3) fractionation and quantification of key SOF-associated mutagenic and carcinogenic PAH and nitro-PAH, and 4) determination of the mutagenicity of the SOF.

The hypothesis to be tested was whether low-emission engines used without a DOC can reduce DPM concentrations below  $0.15 \text{ mg/m}^3$  without negatively impacting the biological, chemical or physical characteristics of the DPM (including particle size distributions). Results obtained from this investigation will allow mine operators to estimate the cost versus benefit of using low-emission engines and low sulfur fuel to reduce DPM emissions.

This study was also designed to help confirm or not confirm the presence of increased nuclei-mode or nanoparticle formation in a real world setting due to use of a low emission engine. The dilution conditions used in laboratory test cells are not representative of real-world conditions and the real-world size distributions may be different from those observed in laboratories. In addition, this evaluation should demonstrate how the two most frequently used methods to assess DPM concentrations underground (elemental carbon sampling and size selective sampling) are affected by the use of low-emission engines.

## PROCEDURES AND METHODOLOGY

### Host Mine

Cote Blanche Mine is an underground salt mine. Salt is mined from one of the many domal salt formations, which exist along Louisiana's gulf coast. Salt produced by the mine is shipped by barge to distribution points along the Mississippi River system.

During the time that data were collected for this study, the mine had only two access shafts, i.e., a 2.44 m (8 ft) diameter fresh air and service shaft extending to the -396.2 m (-1300 ft) mining level and a 4.27 m (14 ft) production and exhaust air shaft extending to the lowest mining level at -457.2 m (-1500 ft). A total of approximately 6229 m<sup>3</sup>/min of air (about 220,000 cfm) was pumped underground by a 500 hp fan located on surface. Later in 2000 a third shaft was developed from the surface to the -457.2 m (-1500 ft) mining level. The new shaft is a production and fresh airshaft. The other two shafts will be used for exhaust. This will allow more than twice as much fresh air to be pumped underground.

The mine uses a room and pillar mining method. On the -457.2 m (-1500 ft) level, 30.5 m (100 ft) by 30.5 m (100 ft) pillars on 45.7 m (150 ft) centers are left. Rooms are developed at 7.62 m (25 ft) high and later benched to 22.9 m (75 ft). Fresh air is directed to active work areas through the use of auxiliary fans and brattice curtains. During the time of the research study, day-to-day variation in salt production varied by less than 10 %. Salt was mined five days a week, three shifts per day with limited weekend production.

### Aerosol Sampling

#### Measurement of DPM Concentrations

Three methods are used in the U.S. and Canada to determine DPM concentrations in underground mines. These are the elemental carbon (EC) method, size selective (SS) method, and the respirable combustible dust (RCD) method. Only the EC and the SS methods were used in this project. Each is described below.

**Elemental Carbon Method:** DPM is chemically complex. It is composed of soluble organic hydrocarbons, sulfate, EC and traces of other compounds. In general, EC accounts for about 50% of the mass of DPM, but this percentage varies depending upon engine duty cycle, fuel quality, aftertreatment device and other factors.

Various techniques have been used for the analysis of carbonaceous aerosol. These methods provide estimates for TC that is in general agreement. An interlaboratory comparison of methods for the analysis of carbonaceous aerosols (Countess, 1990) showed that agreement between laboratories for TC was within 20% for all samples. However, there were large interlaboratory differences in the OC to EC ratio for all samples, with the largest differences occurring in the automotive and wood smoke dominated reference samples. Unlike the case for TC, there are no reference standards for speciation of different carbon types in complex carbonaceous aerosols. Methods that speciate EC and OC are considered operational in the sense that the method itself defines the analyte (Cadle and Groblicki, 1982).

NIOSH (Birch and Cary, 1996; NIOSH, 1998) has refined the thermal-optical method that was originally adopted for atmospheric aerosols (Johnson, et al., 1981; Cadle and

Groblicki, 1982; Hering, et al, 1990). This technique is a sensitive measure of the EC and OC portion of DPM. It has a working range of 6 - 630  $\mu\text{g}/\text{m}^3$  with a limit of detection of about 2.0  $\mu\text{g}/\text{m}^3$  for a 960 L air sample collected on a 37-mm filter with a 1.5- $\text{cm}^2$  punch from the filter. If a lower limit of detection is desired, a larger sample volume and/or a 25-mm filter may be used. If a 1920-L sample is collected on a 25-mm filter then the lower limit of detection is 0.4  $\mu\text{g}/\text{m}^3$  (NIOSH, 1998).

The method also determines the presence of OC; TC is determined by summation (EC + OC). [The Federal Republic of Germany uses the coulometric method to determine EC and TC. The method is described by Dahmann, et al., (1996) and is different from NIOSH method 5040.] Since EC is a product of combustion and is composed of inert graphitic carbon, it is a specific marker of diesel exhaust aerosol in many occupational settings where other combustion aerosols are not present. However, the OC portion of the collected aerosol is subject to interferences from other organic aerosols not associated with diesel exhaust, such as drill oil mist, hydraulic fluids, coal dust, cigarette smoke and other organic material that contribute OC aerosol. This is similar to the situation observed for RCD analysis with the exception that in some cases the NIOSH method can identify the interfering compounds and a correction factor can be applied to the TC estimate to improve the accuracy of the DPM determination.

Samples for EC are collected with or without an inertial impactor preselector to remove particles  $> 0.8 \mu\text{m}$  that may interfere with analysis. The simplest sampling train consists of a 10 mm Dorr-Oliver cyclone followed by a 37 mm precombusted Pallflex, ultra pure quartz fiber filter mounted in a 37 mm plastic cassette. Alternatively, the Dorr-Oliver cyclone is followed by the 0.8- $\mu\text{m}$  size selective impactor described above. In this situation, DPM, which is primarily smaller than 0.8  $\mu\text{m}$ , passes through the central exit of the impaction surface and is collected on the Pallflex filter. This is advantageous because large mechanically generated aerosol, such as coal dust, is not collected on the Pallflex filter and cannot interfere with EC analysis. A disadvantage of the Pallflex quartz fiber filter is the tendency to adsorb organic vapor, thus increasing the mass on the filter and the amount of OC. To correct for this adsorption of OC, 10 dynamic blanks were collected during the study. Dynamic blank samples were collected in the same way as other samples except that 2 filters were used instead of 1. The top filter (Gelman Zefluor 2  $\mu\text{m}$  pore size) removes the DPM and the second filter is used to correct for OC adsorption.

**Size Selective Method** - The SS method is based on a body of literature developed by the UMN, and the U.S. Bureau of Mines (Rubow, et al., 1990; McCartney and Cantrell, 1992 and Cantrell, et al., 1987, 1990a,b, 1993). These studies showed that submicrometer aerosols found in coal mines were primarily diesel in origin. The difference in the aerodynamic diameter particle size between combustion and mechanically generated aerosols can be used to separate diesel aerosol from noncombustion aerosols in the collecting process. Respirable aerosol sampling at 1.7 lpm has a 50% cut point at 4.0  $\mu\text{m}$  and collects a fraction of particles up to 10  $\mu\text{m}$  in size (ACGIH, 1984). Diesel aerosol has a mass median aerodynamic diameter of 0.2  $\mu\text{m}$ , and 90% of the particles are less than 1.0  $\mu\text{m}$  in size. Thus, respirable aerosol sampling collects all diesel and nondiesel aerosol particles falling in the respirable size range. The respirable and diesel fractions may be separated using inertial impaction on greased, aluminum foil substrates. Inertial impaction removes nearly all ( $>90\%$ ) nondiesel particles and a small percentage ( $<15\%$ ) of large diesel particles. The submicrometer diesel aerosol is collected on a filter downstream of the impaction substrate.

Gravimetric analyses determine the mass fraction in each size range. This method allows the removal of large particles that are predominately nondiesel in origin, but still allows the respirable fraction to be calculated.

The SS sampler was originally designed for use in coal mines, which use diesel haulage vehicles equipped with water scrubbers. Scrubbers effectively remove diesel aerosol larger than 0.8  $\mu\text{m}$ , thus tailoring the remaining aerosol size distribution and minimizing the amount of diesel aerosol not captured on the filter (Cantrell, Olson and Lantto 1990). The SS sampling method is also used in metal and nonmetal mines where scrubbers are seldom used. In this situation, approximately 10-15% of the total diesel aerosol is not accounted for (Cantrell, et al., 1990b), because it is larger than 0.8  $\mu\text{m}$  in size and is removed on the aluminum foil substrate.

The SS sampler can be used in conjunction with the EC method if a pre-combusted, glass fiber filter is used to collect the submicrometer material. This is an advantage over other aerosol measurement techniques, which either do not collect a submicrometer sample or destroy the sample during analysis.

The UMN, U.S. Bureau of Mines, MSHA, and NIOSH have designed SS diesel aerosol samplers. The sampler used in this project is a modification of the Bureau of Mines sampler originally designed by the UMN (Rubow, et al., 1990). It was redesigned to reduce manufacturing costs (McCartney and Cantrell, 1992).

The first stage of this sampler is a 10 mm Dorr-Oliver cyclone. A four-nozzle inertial impactor with a 0.8  $\mu\text{m}$  cut point follows the cyclone. The impaction surface consists of a 37-mm oiled, aluminum substrate that is used to collect respirable dust larger than 0.8  $\mu\text{m}$ . Air is drawn through the sampler at 1.7 l/m using a personal sampling pump. (If the flow rate changes the cutpoints for the cyclone and impactor change) DPM, which is primarily smaller than 0.8  $\mu\text{m}$  is not collected on the impaction surface rather it is collected on a polyvinyl chloride filter mounted within an MSA (Mine Safety Appliance) filter cassette (or a pre-combusted glass fiber filter if the EC method is to be used). The amount of DPM is determined gravimetrically from the MSA filter. The mass of respirable dust is determined gravimetrically from the combined mass of material collected on the MSA filter and the aluminum substrate.

The collection efficiencies of the impactors used in the Bureau and UMN samplers were measured as a function of aerosol size using mono-dispersed polystyrene latex particles ranging in size from 0.56 to 1.10  $\mu\text{m}$ . Details of the test protocol are available elsewhere (McCartney and Cantrell, 1992). The 50-pct-collection efficiency point ( $E_{50\text{ pct}}$ ) for the Bureau impactor was  $0.79 \pm 0.01 \mu\text{m}$  with a  $\sigma_g$  of  $1.18 \pm 0.05$ , indicating a sharp cut. The  $\sigma_g$  is defined as the square root of the ratio of the particle diameter corresponding to the 84.1% collection efficiency to the diameter at an efficiency of 15.9%. The University impactor had an  $E_{50\text{ pct}}$  cut point of  $0.77 \pm 0.03 \mu\text{m}$  and a  $\sigma_g$  of  $1.07 \pm 0.04$ . These experimental results agree well with previous experimental findings where the University impactor had an  $E_{50\text{ pct}}$  of  $0.76 \pm 0.05 \mu\text{m}$  and a  $\sigma_g$  of  $1.15 \pm 0.05$  (Marple, et al., 1991).

### **Near Real-time Instruments For Monitoring Diesel Aerosol**

Three instruments were used during the field study to monitor aerosol concentrations in near real-time. The instruments were a portable diffusion charger, portable photoelectric aerosol sensor and condensation particle counter. The two portable instruments logged data

every 10 s into onboard memory that was downloaded to a computer on a daily basis. Condensation particle counter (CPC) and ambient temperature data were logged using a RustTrac data logger. Due to the high concentration of salt dust and diesel aerosol underground the sampling train included a 10 mm Dorr Oliver cyclone, a Bureau of Mines 0.8  $\mu\text{m}$  impactor and a leaky filter dilutor. The flow rate through the sampling train was 2 l/min. The leaky filter gave a dilution ratio of 14:1, and is described in Appendix A. A switching valve allowed the sample air to either come through the leaky filter or to bypass the filter and come directly to the instruments. This enabled the dilution ratio to be checked periodically. A Magnehilic gauge was used to determine the pressure drop across the filter to make sure the dilution ratio remained constant.

**Diffusion Charger (DC)** - The DC measures the total surface area of particulate matter. Positively charged ions are produced by a glow discharge forming in the neighborhood of a very thin wire. These ions attach themselves to the sampled aerosol stream with a certain probability. The charged aerosol particles are then collected on a filter. The electric current flowing from the filter to ground potential is measured and is proportional to the number of ions attached to the particles. For particles in the free molecular range, the attachment is proportional to the surface area of the particles, but is independent of the composition of the particles (Adachi et al., 1985). Hence for particles below 100 nm diameters, this instrument measures the total surface area of the particles without being dependent on the chemistry of the particles (Siegmann et al., 1999).

**Photoelectric Aerosol Sensor (PAS)** - Photoelectric aerosol sensors (Burtscher and Siegmann 1993, Burtscher, et. al. 1993, Hart, et. al. 1993) have been used to quantify the total surface-bound PAH content associated with DPM. The PAS gives an excellent indication of black carbon because it only measures surface bound PAH. In a typical configuration for a PAS, the aerosol passes through an electrical condenser that removes any charged particles or ions. The remaining neutral particles pass through a photoemission chamber where they are irradiated with an Ultraviolet (UV) laser or flash lamp. Particles with photoelectric work functions below the photon energy of the UV source emit electrons and become positive ions (Weiss 1997). The photoemission chamber has a small electric field that precipitates out the high mobility electrons and negative ions. The positive particles are collected on a filter and the resulting current measured by an electrometer. The intensity of the particle photoemission is linearly related to the amount of particle-bound PAHs and the particle surface area (Burtscher and Siegmann 1993).

The PAS instrument measures the photoemission from aerosols, which is related to particle surface and gives a surface area concentration. Results from the studies cited are all reported as photoemission intensity over time. More details on the relation of photoemission to PAH concentration, determined by chemical analysis are given elsewhere (Hart, et al., 1993).

Only submicrometer particles may be irradiated and charged. Particles larger than 1  $\mu\text{m}$  cannot be charged efficiently and are removed from the aerosol before it enters the photoemission chamber. Minimum detectable particle size and concentration limits for the PAS instruments have not been reported. This method does not quantify individual PAH compounds. The detection limit is about 1  $\text{ng}/\text{m}^3$  with a time resolution of about 1 s

(Burtscher and Siegmann 1993). The PAS operates in near real-time, is small in size and is battery operated. It was used in conjunction with the personal EC/OC samplers.

**Condensation Particle Counter (CPC)** - The CPC and the similar condensation nuclei counter (CNC) are used for measuring particle number concentrations. Flows are continuous and ultrafine particles are grown in size by n-butyl alcohol vapor condensation and counted by a laser based, light-scattering detector. Various modes of operation have been used and are described elsewhere (Pui and Swift, 1995).

### **Measuring Aerosol Mass Size Distributions Underground**

The micro-orifice uniform deposit impactor (MOUDI) and a prototype nano-MOUDI were used during the study in an attempt to determine the size distribution of the mine aerosol. The MOUDIs were provided by NIOSH and one was modified at the UMN to accommodate the nano stack. Both instruments are described below.

**Micro-Orifice Uniform Deposit Impactor (MOUDI) and nano-MOUDI** - Marple et al. (1991) describes the MOUDI in detail. It is a multi-stage inertial impactor, operated at 30 l/min, which can separate aerosol particles by size from 0.050  $\mu\text{m}$  to 18  $\mu\text{m}$ . Gravimetric analyses of MOUDI-derived size distributions provide accurate estimates of DPM for particles with aerodynamic diameter sizes in the range of <0.1 to 0.8  $\mu\text{m}$ , and respirable dust concentrations for sizes in the range of <0.1 to 10  $\mu\text{m}$ . Previous studies (Ambs et al., 1994, Watts et al. 1995) have shown that the MOUDI is capable of providing size distributions in underground mines. A Teflon membrane filter (Daigger & Co., Whelling, IL) was used in the final stage of the MOUDI to collect particles < 0.1  $\mu\text{m}$  in size; typically about 1 mg of fine particles was collected on this filter (B. Cantrell, EPA, Personal Communication). The MOUDI substrates and filter were analyzed gravimetrically to determine the mass deposited in each size range. The size distribution was then determined by applying software developed by UMN's Particle Technology Laboratory.

The nano-MOUDI (Marple, et al., 1994) has 13 cut-sizes ranging from 0.010 to 18  $\mu\text{m}$  and is designed to obtain four cut-sizes per decade. For cut-sizes above 50  $\mu\text{m}$ , micro-orifice technology alone is sufficient to obtain the selected cut-points, but for cut-sizes below 50  $\mu\text{m}$  micro-orifice nozzle technology is combined with low-pressure operation. Gravimetric analysis determined the mass collected on aluminum foil substrates and a final stage filter.

The aerosol mass size distributions obtained from these instruments, however, are of questionable value. The MOUDI obtains a mass sample on multiple substrates to determine the mass size distribution. Silicon oil is used on the aluminum foil substrates to assist in the collection of particles and to prevent particle bounce. The substrates are hand cut, weighed, oiled, baked and reweighed and classified based upon the amount of oil on the substrates. They are classified into four categories: heavy, medium, light or ultra light. The type of substrate used in the MOUDI is dependent upon the amount of mass expected to be collected. For this study, substrates with a heavy layer of oil were on the top followed by mediums and lights. Ultra lights were used in the nano-MOUDI stack. If oil is lost for any reason after the substrates are weighed then the mass of material is affected and the results are compromised. During this study oil, was repeatedly lost either because the substrate touched

the orifice plate above it or because it migrated to the outer edge and touched the hold down ring. Oiled substrates were subjected to the high mine ambient temperature, thus making the oil less viscous than normal. In an attempt to solve these problems, many of the Teflon and rubber o-rings used in both instruments were replaced. This necessitated taking apart the orifice stages, replacing the o-rings and reassembling the stages.

Another problem that affected the instruments was clogging of the micro-orifice stages, particularly stages 10 through 13. The NIOSH MOUDI was modified before the study to allow stage 10 (0.056  $\mu\text{m}$ ) to be used. In past studies this stage had not been used. The research team observed that the micro-orifice stages became clogged early on in the study and repeated attempts to clean the stages in the field were unsuccessful. In particular, flow in the nano-MOUDI stack was impeded. Failure to achieve the proper flow rate adversely affects particle deposition. It is quite likely that most of the problems were a result of the high concentration of diesel and salt aerosol that caused the micro-orifice jets to clog.

### **Collection of Mass Samples for Chemical and Biological Analysis**

High-volume samplers equipped, with slotted inertial impactors and that operated at a flow rate of 1.13  $\text{m}^3/\text{min}$ , were used to collect size differentiated particulate matter samples from  $> 3.5$  to  $< 1$   $\mu\text{m}$  in size. The slotted impactors have cut sizes of 3.5, 2.0, and 0.95  $\mu\text{m}$ ; particles below 1  $\mu\text{m}$  in size are primarily diesel in origin and are obtained from the 20 x 25-cm Teflon-coated glass fiber (backup) filters (Pallflex Products Corp., Putnam, CN). After the samples were collected, the Pallflex filters were folded in half and wrapped in aluminum foil and placed in paper folders. The slotted substrates (Graseby Anderson SAC 230 glass fiber) were treated the same way but they were not folded in half. The samples were kept refrigerated until they were shipped to MTU for extraction and analysis.

### **Pre-trip And General Study Protocol**

On November 29, 1999 a two-person team from the UMN visited the Cote Blanche salt mine to determine if it would be suitable for the study. The portable DC and PAS instruments along with a GCA RAM-1 real-time aerosol photometer were brought underground to record data. These instruments showed that the mine had high aerosol concentrations consisting primarily of salt dust and DPM. The mine used both old and new Caterpillar engines and had the right mix of new and old engines to carry out the study. However, it was clear that the flow of ventilation air in the mine was not clearly defined.

A portion of the data collected during the pre-mine trip is shown in Figures 4 and 5. Figure 4 is a schematic of the sites where data were collected and figure 5 is a graph of the DC/PAS ratio collected at position C. Point A is where air entered the 457.2 m (1500 ft) level; position B is where a front-end loader was loading two trucks with salt. The cyclical nature of data in figure 5 reflects the mining cycle and the diesel activity as indicated by the peaks in the DC/PAS ratio. The further away from the mining activity the less identifiable the cycles become. During this time the front-end loader loaded three trucks. About three buckets were required to load each 30 t truck. The beginning time of each cycle was 13:03, 13:08, and 13:13. The RAM-1 was equipped with a 1- $\mu\text{m}$  impactor and recorded submicrometer concentrations between 0.25 and 0.37  $\text{mg}/\text{m}^3$  during the same period of time.

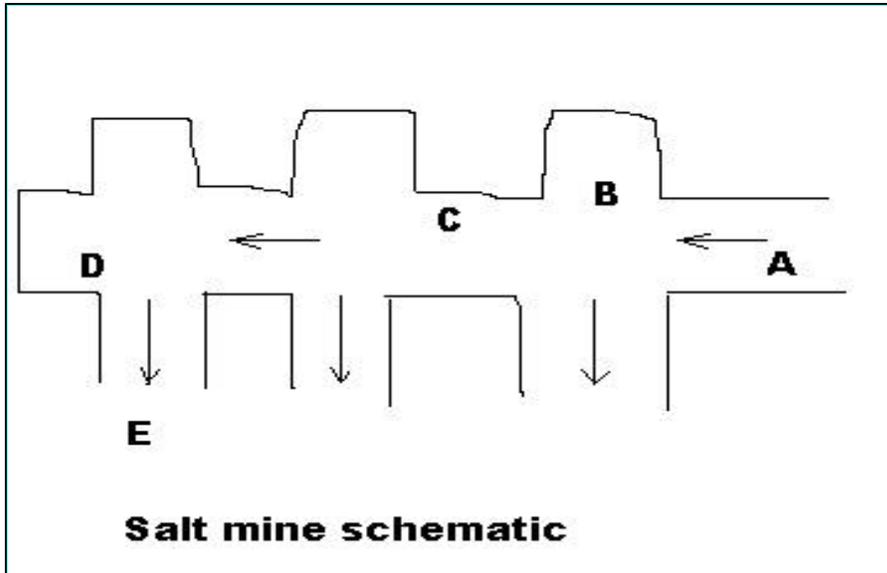


Figure 4. Schematic of the sampling locations (B-D) during the pre-trip. Point A demarks the clean air intake for the 457.2 m (1500 ft) level and the arrow show the direction of the ventilation air flow.

### Downwind 1 crosscut - 1500 ft level

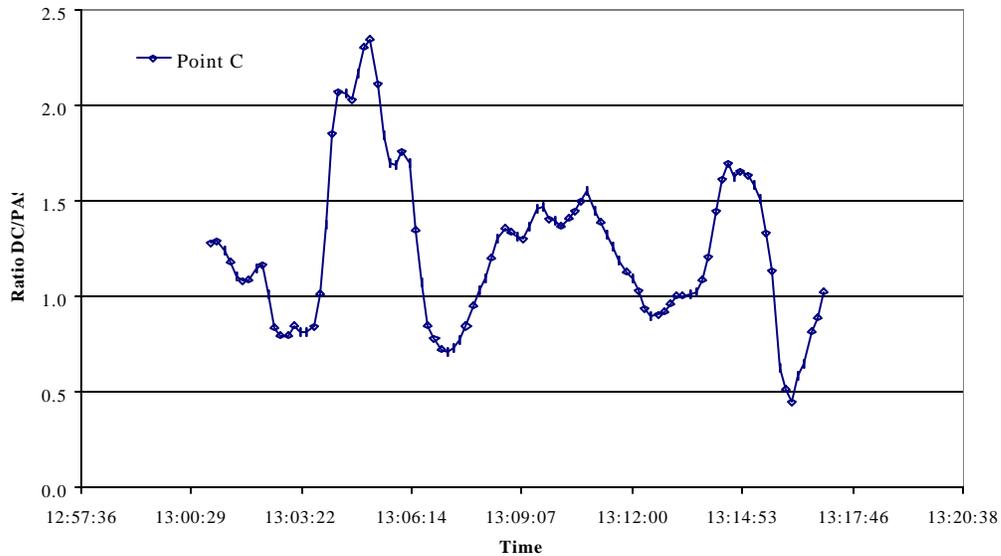


Figure 5. Data collected at sample location C.

Caterpillar 1998, 3408 F electronically controlled engines powered the loader and trucks. The mine temperature was between 33.9 and 35°C (93 and 95°F), and the barometric pressure was 108.2 kPa (15.7 psi).

This mine was chosen for the study primarily because it had the right mix of new and old engines and because the mine management was willing to host and provide logistical

support for the study. It was recognized from the outset that the lack of a defined ventilation pattern and the high mine temperatures were disadvantages. The lack of a defined ventilation pattern would make defining an upwind/downwind sampling scenario difficult and the high temperatures exceed the 32.2 °C (90°F) recommended operating temperature of the TSI 3020 CPC. Total diesel horsepower at the mine was about 10,000 hp.

The two-week field study was carried out from February 21 to March 3, 2000. The research team entered the mine in the morning with the day shift and set up at the two locations shown in Figure 6. The third shift prepared the mining sections by blasting the salt face. During the first week one or two 30 t haul trucks powered by 1991 or 1992 Caterpillar 3408 C engines were loaded by a Caterpillar front-end loader powered by a similar engine. Typically around 30 to 35 truckloads were required to remove the salt from the face. The number of truckloads per day was recorded to give an estimate of the amount of work done at the test location.

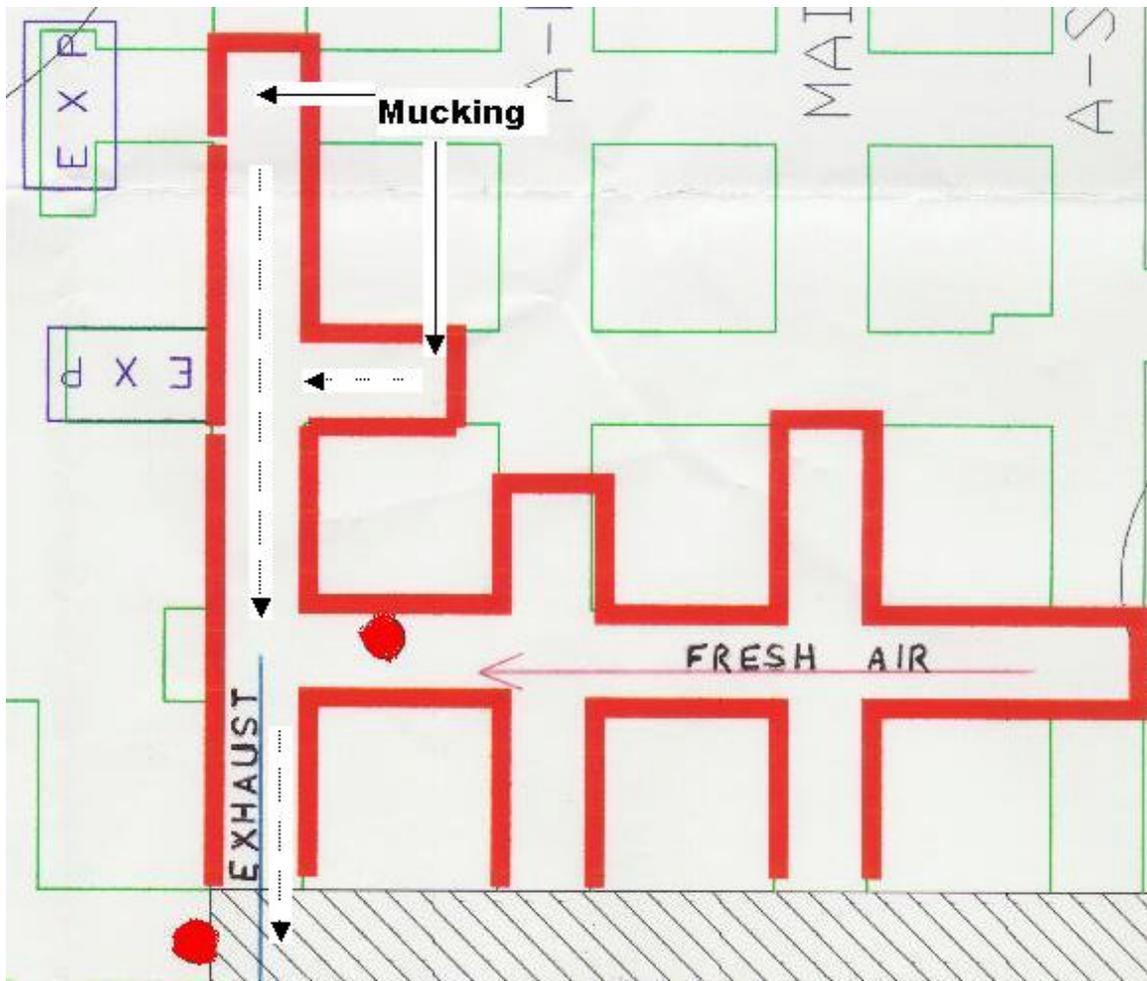
The research team attempted to record the amount of fuel consumed using a Floscan (Floscan Instrument Co., 3016 NE Blakeley St, Seattle, WA 98105) fuel flow meter enclosed in a suitcase and mounted on the front-end loader. Two infrared turbine sensors were mounted in the engine's fuel system: one measured fuel supply flow to the engine, and the other measured fuel return flow to the fuel tank. Signal outputs from these sensors were processed by the flow meter, and a 0-5 V output proportional to net fuel consumption was converted and recorded by the data logger into instantaneous fuel flow in gal/h. The rate of fuel flow exceeded the capacity of the meter despite assurances from the manufacturer to the contrary, thus this effort was not successful. The trucks and front-end loader were not equipped with air-conditioned cabs.

During the second week the same protocol was followed except that different vehicles were used. These vehicles were powered by 1998 Caterpillar 3408 F engines. Table 1 provides data on the Caterpillar diesel equipment evaluated in this study. The 1990 (3408 C) engines had mechanically controlled fuel injection systems and the 1998 (3408 F) engines had electronically controlled systems.

Table 1. Diesel equipment evaluated during the project.

Equipment type	Approximate year of manufacture	Model	Engine	Horse power	Enclosed cab and AC
30 t truck	1990	769 C	3408 C DITA	425	N
30 t truck	1998	769 D	3408 F HEUI	450	Y
Front-end-loader	1990	988 B	3408 C DITA	425	N
Front-end-loader	1998	988 F	3408 F HEUI	450	Y

DITA Direct injection turbocharged and aftercooled with mechanical fuel injection  
 HEUI Hydraulic electric unit injector. These engines were also turbocharged and aftercooled.



Truck route indicated by    
 The two red dots indicate the sampling stations    
 Hatched area indicates portions or area used by screen plant that was under construction   
 Red line indicates direction of fresh airflow

Figure 6. Layout of the mine test section.

EC and SS samplers were placed in baskets and placed on tables at the upwind and downwind sites. Baskets were also mounted on the top of the front-end loader engine compartment. Samples were collected over the entire period that the vehicles were operating in the sampling area. In addition, at the request of the mine, a few EC and SS samples were collected on or near the drivers. (Results from samples collected on or near the truck or load-haul-dump operators are summarized separately in Appendix B.) Table 2 shows the general sampling matrix.

EC and SS samplers were operated at a flow rate of 1.7 l/m. Pumps (MSA, Pittsburgh, PA) were calibrated at the mine's underground maintenance area at the beginning, middle and end of each week. A Gilibrator (Gillian Instruments, W. Caldwell, NJ) was used for the pump calibrations. All mass measurements were made on a Cahn 31 (Thermo Cahn, Waltham MA) microbalance at the UMN.

Table 2. General daily sampling matrix.

Sample type	Number of days	Approximate number of samples and location		
		Upwind	Vehicle	Downwind
High-volume	10	2	NA	4
Size selective (SS)	10	3	3	3
Elemental Carbon (EC)	10	3	3	3
Nano-MOUDI and MOUDI	10	1	NA	2

NA - not applicable to this situation.

### **Upwind Sampling Station**

The upwind sampling station was located at the point where ventilation air entered the test section. The top red bullet indicates the sampling station and the red arrow in Figure 6 indicates the direction of ventilation air. This section was ventilated by air contaminated with dust and vehicle exhaust generated in other parts of the mine. In past studies the background concentration was subtracted from the concentration measured at the downwind location to determine the amount contributed by the test vehicles. However, this was not done because of the inability to consistently determine the direction of air movement, and because levels at the upwind station were sometimes higher than the downwind station. As a result the study had two fixed positions that the two haul trucks passed on their way to and from the load and dump points. A MOUDI, two high-volume samplers and two baskets of EC and SS samplers were located at the upwind position.

### **Vehicle Sampling**

EC and SS samplers located on the front-end loader were in a basket(s) that was strapped to the engine compartment near the operator's position. At the mine's request the original sampling plan was modified so that a few samples could be collected near or on the drivers. EC and SS samplers located in the cabs were either placed next to the driver or worn by the driver. The number of available sample cassettes and pumps limited the number of cab samples and reduced the number of samples collected on the engine compartment. The operators stopped at the beginning and end of each day in front of the downwind location to pick up and drop off the samplers.

### **Downwind Sampling Location**

The downwind area sampling station was located downwind from the test section and is denoted in Figure 6 by the red bullet and the exhaust arrow. Trucks passed by this station on their way to and from the mucking area. Ideally, this location would have been far enough downwind that only aerosol from the test section would reach the location. However, this was not possible at the mine. The sampling station was located beside the haulage route

where the dump trucks passed after being loaded with salt. In addition, other traffic passed this site. However, it was observed that the amount of other diesel and non-diesel traffic did not differ between week 1 and week 2.

The nano-MOUDI, two high-volume samplers, two baskets of EC and SS samplers, DC, PAS and CPC were located at the downwind sampling station. A RAM-1 photometer equipped with a 1- $\mu$ m impactor was also located at this sampling location. Data from the continuous reading instruments were logged using a RustTrac data logger (Thermo Westronics, 22001 N. Park Dr. Ste 100, Kingwood, TX, 98105) and the leaky filter dilutor was used to dilute mine aerosol 14:1. Temperature at this location varied between 98 and 42.2°C (108°F), relative humidity was about 40%, and the barometric pressure was 94.5 kPa (13.7 psi).

## **Laboratory Analyses of High-Volume Samples**

### **DPM, SOF, Sulfate, and Solids Determinations**

The backup filters from the high-volume samplers were handled using procedures similar to those used in previous in-mine studies (e.g., Bagley et al., 1991, 1992, Bagley and Gratz, 1998, Carlson et al., 1996, Johnson et al., 1996). The filters were equilibrated in a constant relative humidity ( $45 \pm 2\%$  RH) and weighed (to nearest 0.01 mg) before and after exposure. The difference in mass before and after exposure provided the mass of DPM collected on the filter. After the second mass determination, the exposed filters were stored at  $-18 \pm 4^\circ\text{C}$  until they were extracted (separately) for 6 h with dichloromethane solvent in a Soxhlet apparatus to obtain the particle-associated SOF. The mass of SOF was determined gravimetrically on a small (100  $\mu\text{L}$ ) aliquot of the total extract, which was brought to dryness. All extracts were stored frozen ( $-18 \pm 4^\circ\text{C}$ ) until they were divided into aliquots for Ames assay and PAH quantification.

After SOF extraction, the filters were extracted by sonication in distilled, deionized water to obtain the sulfate fraction. Sulfate concentrations were determined on these extracts using an ion chromatograph with a conductance detector by comparison to an aqueous standard curve consisting of solutions having known concentrations of potassium sulfate. Because the filters could not be ammoniated after sampling to stabilize the sulfates, these values were only estimates. Solids levels were estimated by subtracting the measured SOF and sulfates concentrations from the measured DPM concentration, as follows:

$$\text{Solids} = \text{DPM} - \text{SOF} - \text{sulfates}$$

Representative unexposed filters were analyzed to assess background contributions to mutagenicity and PAH/nitro-PAH composition.

### **Compound Quantifications**

It was originally proposed that organic extracts obtained from the aluminum foil substrates and Teflon filters from the MOUDI and nano-MOUDI could be used for determination of PAH and nitro-PAH levels, in particular, associated with these very fine particles. Due to the problems outlined above, these studies could not be conducted. However, it was possible to assay for a much wider range of PAH and nitro-PAH (over twice

the originally proposed number) and to assay for a series of compounds (hopanes) that would indicate lube oil contributions to the DPM organic component.

The SOF extracts of particulate matter samples collected in the underground mine were analyzed by two separate gas chromatography/mass spectrometry (GCMS) methods. The first method was used to quantify the PAH and hopanes. The second GCMS method was used for the measurement of nitro-PAH. Both analyses were performed using a Hewlett Packard 5973 GCMS equipped with a 30-meter HP-5MS (0.25  $\mu\text{m}$  film thickness, 0.25 mm diameter). The analysis of PAH and hopanes were performed using a modified version of the methods described by Schauer et al. (1999) and Sheesley et al. (2000). Accurately measured aliquots in the range of 5.0 to 6.0 ml of SOF extract were spiked with deuterated internal standards including acenaphthene- $\text{d}_{10}$ , chrysene- $\text{d}_{12}$ , dibenz[ah]anthracene- $\text{d}_{14}$ , and cholestane- $\text{d}_4$  and then concentrated to 250  $\mu\text{l}$ . 1.0  $\mu\text{l}$  of the concentrated extract was introduced in the GCMS instrument with the injector and transfer line at 300°C and the GC oven at 65 °C. The injector and transfer line are held at constant temperature throughout the sample analysis and the column was ramped to 300°C at 10°C per minute after a 10-minute hold at 65°C. The mass spectrometer was operated in scan mode collecting electron ionization (EI) spectra covering the range of 50 to 550 mass to charge units (amu). Quantification standards were analyzed by the GCMS using the exact same GCMS method. The quantification standards contain precisely known concentrations of internal standards at nominally the same concentrations as the samples. Internally normalized response factors were generated using multi-point calibration curves that were generated before and after the entire set of samples were generated. In addition, standards were analyzed after each set of 5 samples to assure that the quantification response factors were stable throughout the analysis session.

Separate aliquots of the SOF extracts were analyzed for nitro-PAH. Aliquots in the range of 5.0 to 6.0 ml of the SOF extracts were spiked with 4-nitro-biphenyl and then concentrated to a final volume of 1.0 ml. 1.0  $\mu\text{l}$  of the concentrated extract was introduced in the GC/MS instrument with the injector and transfer line at 300°C and the GC oven at 65°C. The injector and transfer line are held at constant temperature throughout the sample analysis and the column was ramped to 240°C at 30°C per minute followed by a ramp to 300 °C at 10°C per minute. After reaching the final temperature, the column was held at the final temperature for 20minutes. The mass spectrometer was operated in single ion monitoring mode collecting selected ions determined from the analysis of authentic standards. The quantification standards were prepared from the National Institute of Standards (NIST) Standard Reference Material 1587 (Nitrated Polycyclic Aromatic Hydrocarbons in Methanol) and were also spiked with the nitro-biphenyl internal standard. Internally normalized response factors were generated using multi-point calibration curves that were generated at the before and after the entire set of samples were generated. In addition, standards were analyzed after each set of 5 samples to assure that the quantification response factors were stable throughout the analysis session.

### **Biological (Mutagenic) Activity Determinations**

Mutagenicity of the SOF was determined using a small dish modification of the microsuspension version (Bagley et al., 1993, 1996; Kado et al., 1986) of the *Salmonella*/microsome mutagenicity assay (Maron and Ames, 1983). A known mass of SOF

(as obtained above) was dissolved in a known volume of dimethyl sulfoxide (DMSO). These samples were stored in sealed glass or Teflon vials at -15 to -20°C prior to analysis. As in the previous NIOSH-funded study, the primary tester strain used was TA98, which detects frameshift mutagenic activity and has consistently been found to provide the highest-level response with diesel-derived organic compounds (e.g., Bagley et al., 1995 and 1996). S9 prepared from Arochlor 1254-induced male Sprague-Dawley rats was obtained from Molecular Toxicology, Inc. (Annapolis, MD) and used to determine whether indirect-acting compounds requiring metabolic activation could be detected. Tester strain TA100 (detecting both frameshift and basepair substitution mutagenic activity) was used without metabolic activation if there was sufficient sample mass still available. Other tester strains were not used due to insufficient sample mass.

The modified microsuspension assay was conducted following previously published procedures (Bagley et al., 1996). The tester strains were grown in Nephelo flasks containing Oxoid broth No. 2 (Unipath Co., Ogdensburg, NY) with 25 µg/mL ampicillin at 37°C and 125 rpm for approximately 10 hours on a gyratory shaker. The cell suspension was centrifuged (10,000 x g for 10 minutes at 4°C) and concentrated 10-fold in phosphate-buffered saline (0.015 M, pH 7.4). For these assays, 100 µl of the same phosphate buffer, 5 µl of sample in dimethyl sulfoxide and 100 µl of concentrated bacteria (about  $1.0 \times 10^{10}$  cells/mL) were transferred to 12 x 75 mm test tubes. If S9 was used, 100 µl of a 2% mixture was added in place of the buffer. These tubes were capped and placed on a gyratory shaker in the dark at 37°C and 180 to 200 rpm for 90 minutes. Top agar (7 mL) was added to each tube and the contents mixed and poured onto the bottom agar dishes (60 x 15 mm containing 10 mL of bottom agar). All tests were conducted using duplicate dishes per dilution. Test dishes were counted after 63 hours of incubation at  $37 \pm 2^\circ\text{C}$  using an automatic colony counter. Controls were run on each test date for spontaneous revertant levels (in DMSO), genotypic checks, positive controls  $\pm$ S9, and sterility checks on assay components (Bagley et al., 1996, Maron and Ames 1983).

The samples were divided into two groups, i.e., upwind or downwind. Each group was assayed on the same date to eliminate day-to-day variability in response by the tester strains. Mutagenic activity (revertants/µg) is determined from the linear portion of the dose-response curves using a least squares regression (Maron and Ames 1983).

## **Fuel and Oil Analyses**

The general characteristics of the diesel fuel used in this study are presented in Table 3. This fuel met all on-highway use diesel fuel specifications and is notable for its ultra low sulfur level and its relatively low aromatic content. The same batch of fuel was used for both weeks of this study. The manufacturer's recommended lubricating oil was in the engines. Samples of the fuel and unused and used lube oil were also tested for levels of PAH and hopanes. The fuel and lube oil samples were first diluted in dichloromethane to give similar concentrations of organic mass as the SOF samples and then were analyzed using the same procedures. Detection limits for PAH and hopanes were about 0.05 µg/g and 0.5 µg/g, respectively.

## Data Analyses

All data were converted to a unit per volume of air concentration basis using the total volume flow ( $\text{m}^3$ ) for each sample. A mean of daily means value was calculated for the EC, OC, particle size, and high-volume sampler data (DPM, SOF, sulfate, and estimated solids) for each sampling location for each sampling week. A weekly mean value for each sampling location was calculated for the PAH, nitro-PAH, and mutagenic activity data. Formula (1) is the weighted weekly mean and Formula (2) is the weighted weekly standard deviation (Bevington and Robinson, 1992).

$$(1) \quad \mu' = \frac{\sum (\chi_i / \sigma_i^2)}{\sum (1 / \sigma_i^2)}$$

$$(2) \quad \sigma_{\mu}^2 = \frac{1}{\sum (1 / \sigma_i^2)}$$

Where  $\mu'$  is the weighted mean,  $\sigma_{\mu}^2$  is the weighted variance,  $\chi_i$  is the measured daily mean value for the  $i$ th day, and  $\sigma_i^2$  is the daily variance for the  $i$ th day.

As the primary purpose of this study was to evaluate the impacts of using modern, low-emission engines in the underground environment, statistical comparisons were only made between data sets for the same sampling location, i.e., the downwind locations for each week of sampling. Due to the in-mine ventilation patterns (as noted above), the upwind sampling site was considered to essentially be a second location for evaluating the effects of engine type on in-mine air quality.

Table 3. Diesel fuel properties<sup>a</sup>.

Cetane Index	47.0
Sulfur, Total (ppm)	34.2
Hydrocarbon Analysis (% , volume)	
Aromatics	15.6
Olefins	1.1
Saturates	83.3
Distillation Profile (°C)	
Initial boiling point	167
10% recovered	192
50% recovered	224
90% recovered	267
95% recovered	279
End Point	294

<sup>a</sup> As analyzed by Core Laboratories, Houston, TX

As different engines were being used between the two weeks, it was originally intended to normalize the measured emissions concentrations with respect to the engines' respective power outputs. This required determination of each engine's fuel consumption. However, as previously mentioned, fuel consumption could not be determined due to measuring equipment malfunctions. Therefore, it was decided to normalize the data based on truck activity in the sampling areas, which would be related to the amount of salt produced.

Table 4 shows the number of trucks passing by the downwind position carrying salt for each day during the two-week study. These data are a measure of production and were used to normalize the aerosol data to account for the difference in the amount of work done each week. The average number of trucks per day for the entire period was 34. Daily average concentrations were divided by the number of trucks passing by the sampling locations that day and multiplied by 34. During the first week an average of 26.6 trucks were observed and during the second week that increased to 40.6 trucks per day. This difference is due to the greater efficiency of the new trucks that were in a better state of maintenance and were capable of greater performance.

Table 4. Number of trucks loaded with salt passing by the sampling stations.

Week 1		Week 2	
Date	Number of trucks	Date	Number of trucks
2/21	24	2/28	28
2/22	24	2/29	34
2/23	25	3/1	50
2/24	37	3/2	32
2/25	23	3/3	59
Average	26.6	Average	40.6

T-tests were performed to test the null hypothesis that the weekly means were equal. A more rigorous statement of the null hypothesis follows:

$$(1) H_0 : \bar{\mathbf{m}}_1 - \bar{\mathbf{m}}_2 = 0$$

Where  $\mu_1$  is the population mean of one distribution and  $\mu_2$  is the population mean of the other (Devore and Peck, 1993).

The t-test assumes that the population distribution of the sampling method is approximately normal, though the underlying physical distribution need not be normal. The populations characterized by  $\mu_1$  and  $\mu_2$  were sampled to provide estimates of  $\mu_1$  and  $\mu_2$ . These estimates are the sample means,  $x_1$  and  $x_2$  respectively. Associated with these sample means are their respective sample standard deviations of the mean,  $s_1$  and  $s_2$ .

Since  $x_1$  and  $x_2$  (estimates of  $\mu_1$  and  $\mu_2$ ) have associated, non-negligible, and non-equal standard deviations, it is appropriate to use the pooled form of the t-test. The pooled t-test takes into account the unequal uncertainties both sample means when calculating t, the

test statistic. Further, since no assumption is made about the relationship of  $\mu_1$  to  $\mu_2$  in an alternative hypothesis, the two-tailed, pooled t-test is used.

For the two-tailed, pooled t-test with the above null hypothesis (Formula 3), the t test statistic is calculated as follows.

$$(2) \quad t = \frac{x_1 - x_2}{\sqrt{s_p^2 \left( \frac{1}{n_1} + \frac{1}{n_2} \right)}}$$

$$(3) \quad s_p^2 = \left( \frac{n_1 - 1}{n_1 + n_2 - 2} \right) s_1^2 + \left( \frac{n_2 - 1}{n_1 + n_2 - 2} \right) s_2^2$$

Where  $n_1$  and  $n_2$  are the number of samples used to calculate  $x_1$  and  $x_2$  respectively.

The t critical value ( $t_c$ ) is calculated from the two-tailed t distribution for a given confidence level ( $1 - \alpha$ ) and the pooled degrees of freedom,  $df = n_1 + n_2 - 2$ . For  $|t| < t_c$ , the null hypothesis cannot be rejected. For  $|t| \geq t_c$  the null hypothesis is rejected and one of two alternative hypotheses is formulated. For  $t > t_c$ , the alternative hypothesis is  $\mu_1 < \mu_2$ . For  $t < -t_c$ , it is  $\mu_1 > \mu_2$ .

## RESULTS AND DISCUSSION

As detailed in the following sections, all of the specific aims of the project were met. Despite some difficulties encountered when working in this underground mine environment (as noted above), levels of DPM were successfully determined (using several techniques) and the DPM was characterized for various components, including organic and elemental carbon levels and associated PAH, nitro-PAH, and mutagenicity. Use of the electronically controlled diesel engines was found to have significant impacts in lowering DPM concentrations with no indication of increases in any potentially health-related components (such as PAH or mutagenicity). There was no evidence of increases in the concentration of the smallest size particles with use of these modern engines. Both EC and SS sampling were successfully conducted when the low-emission diesel engines were used in the underground mine.

## DPM Sampling

### Elemental Carbon Samples

During the two weeks 110 samples for EC/OC were collected. Thirty samples were collected upwind, 20 on the vehicle, 29 downwind, 12 on drivers or inside the cabs, 10 dynamic blanks, 3 blanks and 6 samples were lost due to pump failure (4) or because the sample cassette (2) was inadvertently used twice. Vehicle samples collected on February 29<sup>th</sup> and March 1<sup>st</sup> were lost due to these problems. The average dynamic blank OC correction for the first week was 240  $\mu\text{g}/\text{m}^3$  and for the second week it was 270  $\mu\text{g}/\text{m}^3$ . No correction was made for the OC for the 12 samples collected on drivers or in the cabs because no dynamic blanks were collected inside the cabs.

Table 5 summarizes the EC, OC and TC normalized daily means [and standard deviation (SD)] and the weekly weighted means (and SD) for each of the three locations, upwind, vehicle and downwind. The TC values at the upwind and downwind sites were fairly close to the MSHA interim standard of 0.4  $\text{mg}/\text{m}^3$ . Table 6 shows a comparison of these sampling results between weeks 1 and 2, with values presented as % change between week 1 and 2 emissions and significantly different changes noted in boldface. (The t-test statistics are presented in Appendix C, Table C-1.) **All of the differences were found to be statistically significant. The weighted week 2 means are less than the weighted week 1 means except for the vehicle OC means (week 2 mean shows a nearly 20% increase).** In this case, two days of data were lost due to sampling problems during the second week, thus the comparison is based on five days of sampling the first week and only three days of sampling the second week.

### Size Selective Samples

During the two weeks of this study, 97 SS samples were collected to estimate the  $< 0.8 \mu\text{m}$  fraction and the RD concentrations. Twenty-eight samples were collected upwind, 24 on the vehicle, 29 downwind, 12 on drivers or inside the cabs, and 4 samples were lost due to pump failure or because the sample pump hose inadvertently was removed. Table 7 summarizes the SS normalized daily means (and SD) and the weekly weighted means (and SD) for each of the three locations, i.e., upwind, vehicle, and downwind. The  $< 0.8 \mu\text{m}$  fraction of the submicrometer aerosol is believed to be diesel in origin and the RD fraction is the sum of the  $< 0.8$  and  $> 0.8 \mu\text{m}$  fractions that are collected on the MSA filter and the impactor aluminum substrate.

Table 5. Normalized daily and weighted weekly EC, OC and TC mean concentrations.

Sample location	Date	EC, $\mu\text{g}/\text{m}^3$		OC, $\mu\text{g}/\text{m}^3$		TC, $\mu\text{g}/\text{m}^3$	
		Mean	SD	Mean	SD	Mean	SD
Upwind	02/21/00	1235	103	265	52	1500	150
	02/22/00	1267	147	324	74	1591	194
	02/23/00	641	216	111	97	752	313
	02/24/00	721	200	150	122	871	315
	02/25/00	1145	319	575	164	1719	476
	Week 1 - weighted	1108	71	263	36	1390	102
	02/28/00	885	437	574	466	1459	902
	02/29/00	496	91	208	162	704	235
	03/01/00	205	61	104	58	310	106
	03/02/00	1072	269	487	183	1559	443
	03/03/00	267	38	125	35	392	74
	Week 2 - weighted	290	30	133	29	411	58
	Vehicle	02/21/00	2422	64	376	41	2798
02/22/00		3767	104	634	350	4401	246
02/23/00		1336	145	355	136	1691	274
02/24/00		2260	332	483	139	2743	471
02/25/00		2153	1024	659	267	2812	1291
Week 1 - weighted		2599	50	391	38	2891	89
02/28/00		908	43	570	42	1479	85
03/02/00		1264	329	599	298	1864	628
03/03/00		310	255	116	76	426	332
Week 2 - weighted		898	42	468	36	1421	82
Downwind		02/21/00	1459	101	252	21	1711
	02/22/00	1563	38	357	78	1920	76
	02/23/00	796	15	270	71	1065	55
	02/24/00	883	71	387	86	1270	85
	02/25/00	1268	89	337	62	1605	145
	Week 1 - weighted	923	14	272	18	1392	36
	02/28/00	733	21	489	94	1222	108
	02/29/00	494	54	214	54	708	9
	03/01/00	256	10	123	56	379	65
	03/02/00	609	88	356	64	965	104
	03/03/00	318	5	163	4	481	6
	Week 2 - weighted	326	4	164	4	545	5

EC Elemental carbon, OC Organic carbon, TC Total carbon, SD Standard deviation. Vehicle samples collected on 2/29 and 3/1 were lost due to problems.

Table 6. Comparison of DPM sampling results between weeks 1 and 2. (with values presented as % change between week 1 and 2 emissions and significantly different changes noted in boldface)

Parameter	Sampling Location		
	Downwind	Upwind	Vehicle
<u>Elemental Carbon</u>			
EC ( $\mu\text{g}/\text{m}^3$ )	<b>-64.7</b>	<b>-73.8</b>	<b>-65.4</b>
OC ( $\mu\text{g}/\text{m}^3$ )	<b>-39.7</b>	<b>-49.4</b>	<b>19.7</b>
TC ( $\mu\text{g}/\text{m}^3$ )	<b>-60.8</b>	<b>-70.4</b>	<b>-7.9</b>
<u>Size Selective Samples</u>			
<0.8 $\mu\text{m}$ ( $\text{mg}/\text{m}^3$ )	<b>-42.9</b>	<b>-29.2</b>	<b>-54</b>
RD ( $\text{mg}/\text{m}^3$ )	<b>-39.8</b>	<b>-26.1</b>	-42.2
<u>Near Real-Time Aerosol</u>			
DC (fA)	<b>-57.1</b>	ND	ND
PAS ( $\text{ng}/\text{m}^3$ )	<b>-56.3</b>	ND	ND
CPC ( $\text{part}/\text{cm}^3$ )	<b>-50.6</b>	ND	ND

ND – Not determined.

The results of the t-test comparisons between the weekly weighted means are shown in Table 6. (The t-test statistics are presented in Table C-2.) **All of the values were lower during the week of operation with the newer engines, although the decreases were not as great as found with the EC samples. In each case, except for the RD samples collected on the vehicle, the difference between the means was statistically significant.** Although there was an apparent reduction in RD from week 1 to week 2 with the RD samples ( $4.79 \pm 1.79 \text{ mg}/\text{m}^3$ ;  $2.77 \pm 0.16 \text{ mg}/\text{m}^3$ ), the high SD measured during the first week affects the t-test statistics. However, the < 0.8  $\mu\text{m}$  measurement for DPM did show a significant change ( $2.89 \pm 0.28 \text{ mg}/\text{m}^3$ ;  $1.33 \pm 0.10 \text{ mg}/\text{m}^3$ ).

### Near Real-time Aerosol Data

The DC, PAS and CPC were connected to a leaky filter dilutor to reduce the absolute concentrations of aerosol to a suitable range and to protect the instruments from clogging with salt dust. The dilution ratio determined before and after the in-mine study was 14.5:1. The average number of particles/ $\text{cm}^3$  and standard deviation was determined by multiplying the CPC concentrations by 14.5. Daily estimates of the dilution ratio were obtained with the CPC while underground. For short periods of time the instruments were operated with and without the leaky filter as illustrated by the CPC plots in Figures 7 and 8. From these data it

was possible to check the dilution ratio. Similar estimates were obtained from the PAS and DC, but the concentrations exceeded the range of the instruments and the dilution ratio was underestimated. For this reason the DC and PAS values reported are relative values that were not multiplied by 14.5. (Additional examples of these types of data are presented in Appendix D.)

Table 7. SS normalized daily and weighted weekly mean concentrations.

Sample location	Date	< 0.8 $\mu\text{m}$ , $\text{mg}/\text{m}^3$		RD, $\text{mg}/\text{m}^3$		
		Mean	SD	Mean	SD	
Upwind	02/21/00	1.72	0.01	2.74	0.09	
	02/22/00	1.65	0.11	2.58	0.45	
	02/23/00	1.06	0.01	1.79	0.28	
	02/24/00	1.08	0.00	1.80	0.08	
	02/25/00	1.70	0.07	3.11	0.64	
	Week 1 - weighted	1.44	0.06	2.41	0.38	
	02/28/00	1.30	0.06	2.53	0.11	
	02/29/00	1.01	0.02	1.55	0.02	
	03/01/00	0.48	0.01	0.83	0.16	
	03/02/00	1.71	0.23	2.96	1.17	
	03/03/00	0.59	0.10	1.03	0.02	
	Week 2 - weighted	1.02	0.12	1.78	0.53	
	Vehicle	02/21/00	2.85	0.21	3.83	0.66
		02/22/00	3.91	0.10	6.55	0.50
02/23/00		1.47	0.13	2.20	0.18	
02/24/00		2.63	0.57	3.83	3.87	
02/25/00		3.58	0.03	7.52	0.48	
Week 1 - weighted		2.89	0.28	4.79	1.79	
02/28/00		1.65	0.08	3.27	0.01	
02/29/00		1.33	0.04	2.80	0.09	
03/01/00		0.69	0.03	1.45	0.07	
03/02/00		2.21	0.06	4.90	0.21	
03/03/00		0.79	0.19	1.44	0.25	
Week 2 - weighted		1.33	0.10	2.77	0.16	
Downwind		02/21/00	1.99	0.27	3.20	0.34
		02/22/00	2.01	0.17	3.58	0.22
	02/23/00	1.16	0.09	1.91	0.24	
	02/24/00	1.24	0.04	1.97	0.04	
	02/25/00	1.73	0.09	3.30	0.31	
	Week 1 - weighted	1.63	0.16	2.79	0.25	
	02/28/00	1.45	0.03	2.68	0.10	
	02/29/00	0.94	0.03	1.45	0.05	
	03/01/00	0.49	0.02	0.87	0.05	
	03/02/00	1.13	0.09	2.18	0.04	
	03/03/00	0.65	0.10	1.20	0.15	
	Week 2 - weighted	0.93	0.06	1.68	0.09	

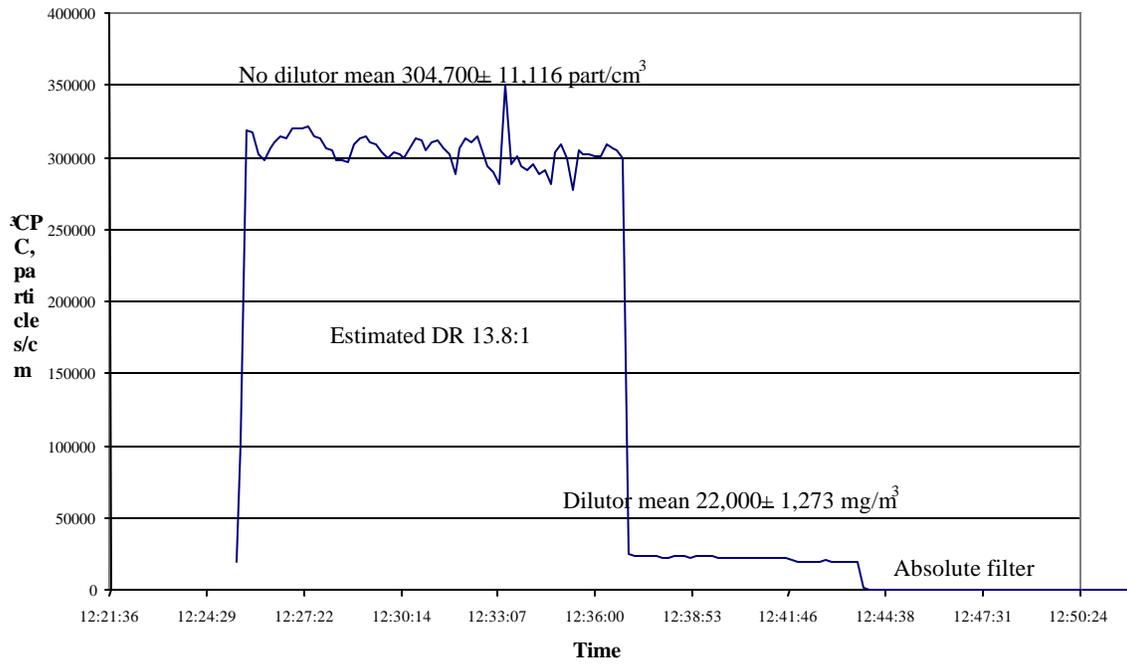


Figure 7. Leaky filter dilution ratio estimate for CPC with and without dilutor on 2/28/00.

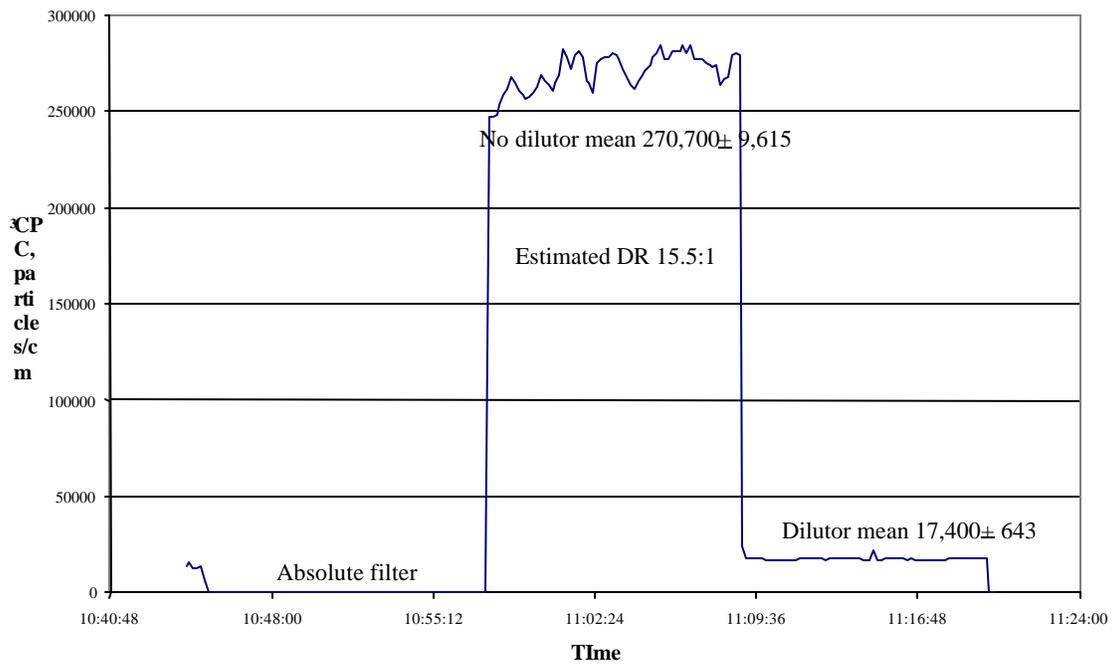


Figure 8. Leaky filter dilution ratio estimate for CPC with and without dilutor on 3/1/00.

Table 8 summarizes the normalized daily means (and SD) and the weekly weighted means (and SD) for each of the three continuous reading instruments (DC, PAS, and CPC) at the downwind sampling location. The results of the t-test comparison between the weekly weighted means are also shown in Table 6. (The t-test statistics are presented in Table C-3.) **In all cases, the mean values decreased from week 1 to week 2 and all of the differences were statistically significant. The magnitude of the decreases was similar to that found for EC and TC at the same locations. There was no evidence of increased production of nano- (or nuclei-mode) particles with use of the late model engines.**

Table 8. DC, PAS and CPC normalized daily and weighted weekly mean concentrations.

Location	Date	DC N	DC, fA		PAS N	PAS, ng/m <sup>3</sup>		CPC N	CPC, part/cm <sup>3</sup>	
			Mean	SD		Mean	SD		Mean	SD
Downwind	02/21/00	306	553	58	323	720	92	350	297206	27552
	02/22/00	859	556	122	853	765	128	697	294847	50779
	02/23/00	1398	83	40	1308	147	71	1784	312405	118415
	02/24/00	1118	239	44	942	370	59	892	248955	89561
	02/25/00	1136	135	42	1122	278	71	ND	ND	ND
	Week 1 - weighted		219	22		373	34		294131	22935
	02/28/00	1503	222	53	1445	206	45	1246	343675	103918
	02/29/00	860	193	31	855	225	44	714	211722	49192
	03/01/00	1300	75	10	1282	122	36	1077	125651	37428
	03/02/00	961	206	90	980	265	165	771	213274	61740
	03/03/00	1482	104	20	1266	124	38	1340	109466	44929
	Week 2 - weighted		94	9		163	20		145324	22488

ND No data

## High-Volume Sampler Analyses

### DPM and DPM-Component Concentrations

Although the primary intent of using the high-volume samplers was to obtain sufficient mass to conduct the PAH, nitro-PAH, and mutagenic activity analyses, some general comparisons can be made between the engines for DPM, SOF, solids, and sulfate levels. Table 9 provides a comparison of the number of samplers used, total number of filters collected, and total sampler-operating time for this study.

Tables 10 and 11 summarize the normalized daily and weighted weekly means (and SD) of DPM and DPM components (SOF, sulfate, and solids) at the downwind and upwind sampling locations, respectively. Since the filters were not ammoniated to stabilize the sulfates after sampling, both the sulfate and solids levels are only estimations. However, the DPM would be expected to contain very little sulfate due to the use of such an ultra-low sulfur fuel (Table 3); as can be seen from the data in Tables 10 and 11, the detected sulfate levels would contribute little to the detected mass for these samples. Table 12 shows a comparison of DPM sampling results between weeks 1 and 2, with values presented as % change between week 1 and 2 emissions and significantly different changes noted in boldface. (The t-test statistics are presented in Table C-4.) All of the values decreased between weeks 1 and 2 and all of the changes were found to be statistically significant. As the high-volume samplers were operated only when diesel traffic occurred in the sampling areas, the reported DPM values represent potentially worst case levels in this mine. It is

noteworthy that similar reductions were found using full-shift samplers for TC (analogous to DPM) and EC (analogous to solids) at these same sites (Table 6). As with the OC (Table 6), there were not as great reductions in the SOF with use of the newer engines.

Table 9. High-volume sampler parameters.

Location	Week	Date	No. of Samplers	No. of Filters	Total Time (hr)
Upwind	1	02/22/00	1	2	0.42
		02/23/00	1	2	0.90
		02/24/00	1	2	0.55
		02/25/00	1	2	0.49
	2	02/28/00	1	2	0.75
		02/29/00	1	2	0.76
		03/01/00	1	2	1.33
		03/02/00	1	2	0.99
		03/02/00	1	2	0.88
Downwind	1	02/21/00	1	2	0.49
		02/22/00	2	5	1.18
		02/23/00	1	5	0.27
		02/24/00	1	3	0.72
		02/25/00	1	3	1.32
	2	02/28/00	1	3	1.78
		02/29/00	1	2	1.30
		03/01/00	2	6	3.67
		03/02/00	2	4	1.92
		03/02/00	2	5	2.02

Data from the one upwind sample collected on 02/21/00 were not used due to sampler problems. There was no time overlap when two samplers were used.

### **Compound Quantifications**

Table 13 summarizes the weighted weekly means (and SD) for the various PAH detected at the downwind and upwind sampling locations. PAH and hopane concentrations were obtained from combined SOF extracts for each sampling date (normalized daily values presented in Appendix E, Tables E-1 and E-2). The weighted mean hopane concentrations at the downwind site are presented in Figure 9; hopane distributions were essentially the same at the upwind sampling site (data presented in Table E-2).

Table 10. Downwind location high-volume sampler-derived DPM and DPM-component normalized daily and weekly mean (and SD) concentrations (mg/m<sup>3</sup>).

Week	Date	DPM	SOF	Sulfates	Solids
1	02/21/00	4.59 (0.49)	0.17 (0.02)	0.027 (0.004)	4.39 (0.47)
	02/22/00	4.54 (0.96)	0.20 (0.05)	0.019 (0.007)	4.32 (0.93)
	02/23/00	2.48 (0.90)	0.24 (0.10)	0.008 (0.005)	2.23 (0.83)
	02/24/00	2.55 (0.56)	0.14 (0.56)	0.005 (0.003)	2.40 (0.57)
	02/25/00	2.74 (0.41)	0.17 (0.02)	0.006 (0.001)	2.56 (0.39)
Week 1 - Weighted		3.31 (0.25)	0.15 (0.01)	0.007 (0.001)	3.13 (0.24)
2	02/28/00	2.00 (0.20)	0.36 (0.04)	0.012 (0.003)	1.63 (0.16)
	02/29/00	1.37 (0.01)	0.16 (0.01)	0.008 (0.001)	1.20 (0.01)
	03/01/00	1.09 (0.43)	0.07 (0.02)	0.023 (0.029)	1.01 (0.43)
	03/02/00	2.07 (0.62)	0.14 (0.62)	0.028 (0.029)	1.91 (0.59)
	03/03/00	1.12 (0.17)	0.10 (0.01)	0.008 (0.001)	1.01 (0.17)
Week 2 - Weighted		1.37 (0.01)	0.13 (0.01)	0.008 (0.001)	1.20 (0.01)

DPM Diesel particulate matter, SOF Soluble organic fraction, SD Standard deviation.

Table 11. Upwind location high-volume sampler-derived DPM and DPM-component normalized daily and weekly mean (and SD) concentrations (mg/m<sup>3</sup>).

Week	Date	DPM	SOF	Sulfates	Solids
1	02/22/00	6.48 (3.99)	0.23 (0.10)	0.036 (0.024)	6.20 (3.86)
	02/23/00	3.36 (1.38)	0.16 (0.04)	0.020 (0.008)	3.19 (1.35)
	02/24/00	2.24 (0.05)	0.14 (0.05)	0.013 (<0.001)	2.08 (0.01)
	02/25/00	8.18 (7.95)	0.18 (0.02)	0.034 (0.038)	7.94 (7.89)
Week 1 - Weighted		2.24 (0.05)	0.17 (0.02)	0.013 (<0.001)	2.08 (0.01)
2	02/28/00	2.60 (0.35)	0.38 (0.04)	0.006 (0.008)	2.21 (0.31)
	02/29/00	2.23 (0.28)	0.03 (<0.01)	0.013 (<0.001)	2.08 (0.28)
	03/01/00	0.89 (0.08)	0.06 (<0.01)	0.004 (<0.001)	0.83 (0.18)
	03/02/00	3.61 (2.19)	0.14 (0.01)	0.012 (0.012)	3.45 (2.18)
	03/03/00	0.82 (0.04)	0.07 (0.01)	0.003 (<0.001)	0.75 (0.04)
Week 2 - Weighted		0.87 (0.04)	0.13 (<0.01)	0.011 (<0.001)	0.79 (0.03)

DPM Diesel particulate matter, SOF Soluble organic fraction, SD Standard deviation.

Data from samples collected on 02/21/00 were not used due to sampler problems.

Table 12. Comparison of high-volume sampler-derived DPM and biological activity result between weeks 1 and 2.

(with values presented as % change between week 1 and 2 emissions and significantly different changes noted in boldface)

Parameter	Sampling Location	
	Downwind	Upwind
<u>DPM and Composition</u>		
DPM (mg/m <sup>3</sup> )	<b>-58.6</b>	<b>-61.1</b>
SOF (mg/m <sup>3</sup> )	<b>-13.3</b>	<b>-23.5</b>
Solids (mg/m <sup>3</sup> )	<b>-61.7</b>	<b>-62</b>
<u>Biological Activity</u>		
TA98-S9 (krev/m <sup>3</sup> )	-28.4	-31.7
TA98+S9 (krev/m <sup>3</sup> )	-37.5	-64.7
TA100-S9 (krev/m <sup>3</sup> )	<b>-54.4</b>	-57.4

The PAH concentrations decreased by up to about 90% from week 1 to week 2 at both the upwind and downwind sampling locations. Table 14 shows a comparison of PAH values, represented as % change between the week 1 and week 2 emissions; significantly different changes are noted in boldface. (The t-test statistics are presented in Tables C-5 and C-6.) All but one of the differences at the upwind site (benzo[ghi]perylene) were found to be significant. At the downwind site, significant differences were found for the lower molecular weight PAH (phenanthrene through benzo[ghi]fluoranthene). Only the chrysene+triphenylene difference was significant for the remaining PAH, although most of these alpha values were very close to the significance level (0.05) (Table C-7). (All but one of the differences at the upwind site (benzo[ghi]perylene) were found to be significant.) As with other types of samples, sample variability (as evidenced by relatively high SD values) were likely the cause of the lack of significance.

Due to sample mass constraints, attempts were made to quantify nitro-PAHs only from the downwind location. However, nitro-PAH (i.e., 3-nitrofluoranthene, 1-nitropyrene, 7-nitrobenz[a]anthracene, 6-nitrochrysene, and 6-nitrobenzo[a]pyrene) was not detected in any of the samples. All of the week 1 values were <1.26 ng/m<sup>3</sup> and all of the week 2 values were <0.36 ng/m<sup>3</sup>.

Hopanes are naturally present in lubricating oils that are derived from distilled crude oils (Simoneit, 1999). These compounds are formed over geological time through microbial processing of components of crude oil (Simoneit, 1999). Although the concentrations of different hopanes vary between different crude oils, the distribution of the seven hopanes quantified as part of the present study have been found to be relatively consistent within the atmosphere within urban regions of the United States and in vehicle emissions tested in the United States (Schauer et al., 1996; Fraser et al., 1997; Schauer et al., 1999; Schauer and Cass, 2000). It is important to recognize that these hopanes are present in lubricating oils

used in both gasoline-powered motor vehicles and diesel engine and are not present in gasoline and diesel fuels. (See Figure 11.) Likewise, the compounds are not formed under combustion conditions (Simoneit, 1999). For these reasons, the presence of the hopanes in the underground mine atmosphere provides an indication of the presence of lubricating oil in the underground mine atmosphere; higher hopane concentrations would indicate higher lubricating oil contributions. While the general distribution of hopane-types did not vary between weeks 1 and 2 (as indicated in Figure 9 for the downwind location), all of the values decreased by up to about 80% with use of the later model engines. These differences were typically found to be significant (Table 14).

Table 13. PAH weighted weekly mean PAH concentrations (ng/m<sup>3</sup>) at the upwind and downwind sampling locations.

Compound	Upwind - Week 1		Upwind - Week 2		Downwind - Week 1		Downwind - Week 2	
	Mean	SD	Mean	SD	Mean	SD	Mean	SD
Phenanthrene	1.18E+02	8.00E+01	2.31E+01	1.70E+01	1.02E+02	4.50E+01	1.30E+01	5.20E+00
Anthracene	5.70E+00	3.83E+00	1.12E+00	6.91E-01	4.57E+00	2.19E+00	6.23E-01	2.39E-01
Methyl-178PAH	2.35E+02	1.43E+02	4.59E+01	3.14E+01	2.04E+02	8.98E+01	2.65E+01	1.13E+01
Dimethyl-178PAH	2.38E+02	1.30E+02	4.67E+01	3.06E+01	2.03E+02	9.24E+01	2.76E+01	1.10E+01
Trimethyl-178PAH	2.35E+00	1.02E+00	3.87E-01	2.32E-01	1.49E+00	6.82E-01	2.34E-01	9.90E-02
Fluoranthene	6.40E+01	3.05E+01	1.28E+01	7.16E+00	6.84E+01	3.52E+01	8.97E+00	2.26E+00
Acephenanthrylene	1.00E+01	5.82E+00	1.50E+00	8.10E-01	9.28E+00	5.47E+00	9.36E-01	2.22E-01
Pyrene	1.07E+02	4.85E+01	2.28E+01	1.29E+01	1.17E+02	6.01E+01	1.58E+01	3.75E+00
Methyl-202PAH	6.95E+01	3.30E+01	1.61E+01	8.28E+00	7.18E+01	3.82E+01	1.13E+01	3.17E+00
Dimethyl-202PAH	3.69E+01	1.74E+01	8.87E+00	3.42E+00	4.88E+01	2.69E+01	7.43E+00	3.57E+00
Benzo[ghi]fluoranthene	1.26E+01	3.39E+00	2.14E+00	4.44E-01	1.41E+01	9.59E+00	1.62E+00	5.60E-01
Benzo[a]anthracene	3.97E+00	1.20E+00	7.13E-01	1.73E-01	3.52E+00	2.82E+00	5.43E-01	1.93E-01
Cyclopenta[cd]pyrene	6.79E+00	1.71E+00	8.04E-01	1.16E-01	7.03E+00	6.11E+00	5.68E-01	2.46E-01
Chrysene+Triphenylene	8.07E+00	2.50E+00	1.72E+00	4.01E-01	7.87E+00	5.26E+00	1.29E+00	4.35E-01
Benzo[k]fluoranthene	1.80E+00	6.81E-01	2.85E-01	1.68E-01	2.00E+00	2.01E+00	1.53E-01	8.59E-02
Benzo[b]fluoranthene	1.43E+00	5.66E-01	2.23E-01	1.46E-01	1.52E+00	1.53E+00	2.28E-01	2.04E-01
Benzo[j]fluoranthene	7.05E-01	2.61E-01	3.01E-01	1.60E-01	8.08E-01	6.28E-01	2.16E-01	1.22E-01
Benzo[e]pyrene	2.50E+00	5.48E-01	4.21E-01	1.91E-01	2.75E+00	2.35E+00	3.10E-01	1.20E-01
Benzo[a]pyrene	1.67E+00	5.16E-01	2.27E-01	1.23E-01	1.76E+00	1.61E+00	1.73E-01	6.77E-02
Indeno[cd]pyrene	6.05E-01	2.86E-01	8.50E-02	5.24E-02	6.15E-01	7.11E-01	5.37E-02	3.46E-02
Benzo[ghi]perylene	1.04E-02	1.01E-02	6.91E-03	5.94E-03	4.77E-02	4.89E-02	6.17E-03	4.19E-03
Dibenzo[ah]anthracene	1.41E+00	4.81E-01	1.78E-01	1.10E-01	1.70E+00	1.87E+00	1.22E-01	6.35E-02

Table 14. Comparison of PAH and hopane values from weeks 1 and 2.  
 (with values presented as % change between the weeks 1 and 2 emissions and significantly different changes noted in boldface)

Compound	Sampling Location	
	Downwind	Upwind
Phenanthrene	<b>-87.2</b>	<b>-80.5</b>
Anthracene	<b>-86.4</b>	<b>-80.4</b>
Methyl-178PAH	<b>-87.0</b>	<b>-80.5</b>
Dimethyl-178PAH	<b>-86.4</b>	<b>-80.4</b>
Trimethyl-178PAH	<b>-84.3</b>	<b>-83.6</b>
Fluoranthene	<b>-86.9</b>	<b>-79.9</b>
Acephenanthrylene	<b>-89.9</b>	<b>-85.1</b>
Pyrene	<b>-86.6</b>	<b>-78.8</b>
Methyl-202PAH	<b>-84.2</b>	<b>-76.8</b>
Dimethyl-202PAH	<b>-84.8</b>	<b>-75.9</b>
Benzo[ghi]fluoranthene	<b>-88.5</b>	<b>-83.1</b>
Benzo[a]anthracene	-84.6	<b>-82.0</b>
Cyclopenta[cd]pyrene	-91.9	<b>-88.2</b>
Chrysene+Triphenylene	<b>-83.7</b>	<b>-78.6</b>
Benzo[k]fluoranthene	-92.4	<b>-84.2</b>
Benzo[b]fluoranthene	-85.0	<b>-84.4</b>
Benzo[j]fluoranthene	-73.3	<b>-57.3</b>
Benzo[e]pyrene	-88.7	<b>-83.1</b>
Benzo[a]pyrene	-90.1	<b>-86.4</b>
Indeno[cd]pyrene	-91.3	<b>-86.0</b>
Benzo[ghi]perylene	-87.1	-33.6
Dibenzo[ah]anthracene	-92.8	<b>-87.4</b>
22,29,30-Trisnorhopane	-80.0	<b>-75.8</b>
17a(H),21b(H)-29-Norhopane	-77.9	<b>-65.2</b>
17a(H),21b(H)-Hopane	<b>-72.4</b>	<b>-73.6</b>
22S-17a(H),21b(H)-30-Homohopane	<b>-73.2</b>	<b>-61.1</b>
22R-17a(H),21b(H)-30-Homohopane	<b>-73.2</b>	<b>-69.5</b>
22S-17a(H),21b(H)-30-Bishomohopane	-73.8	<b>-70.6</b>
22R-17a(H),21b(H)-30-Bishomohopane	-71.3	<b>-67.4</b>

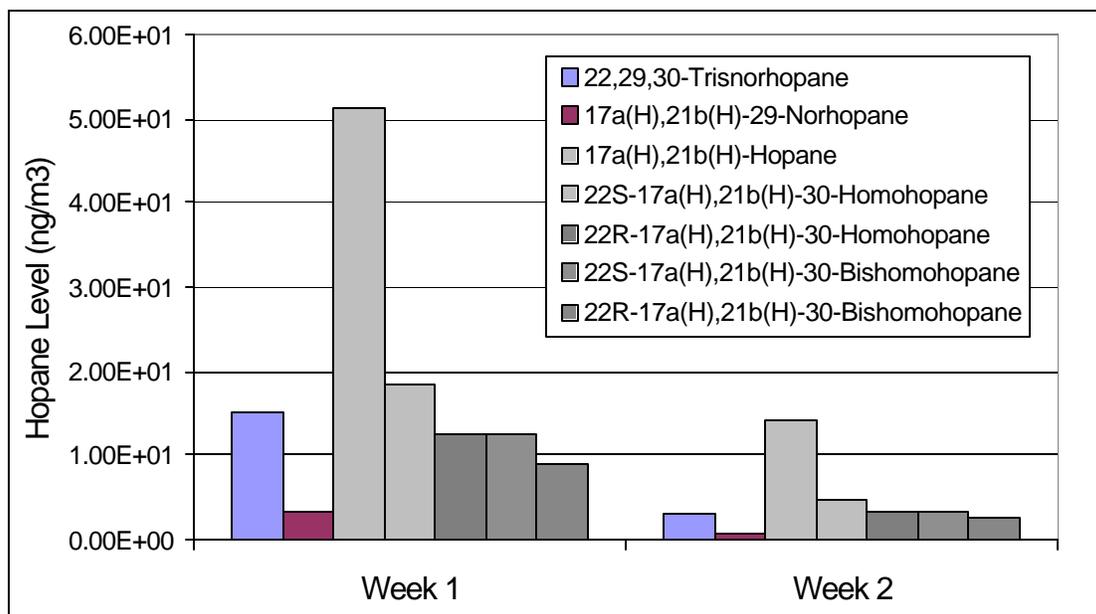


Figure 9. Comparison of normalized and weighted mean weekly hopane levels in the downwind sampling sites between sampling weeks 1 and 2.

### Fuel and Oil Characterizations

The fuel and oil analyses indicate that the fuel did contain PAHs, some of which were transferred to the lubricating oil during engine operation (Figure 10). In contrast, the hopanes were only associated with the new and used lubricating oil (Figure 11). As noted above, the hopanes detected in the SOF (Figure 9) therefore derived only from the lubricating oil. The distribution of hopanes between the SOF and oil samples is generally the same except that lower 17a(H)21b(H)-29-Norhopane levels were found with the SOF sample

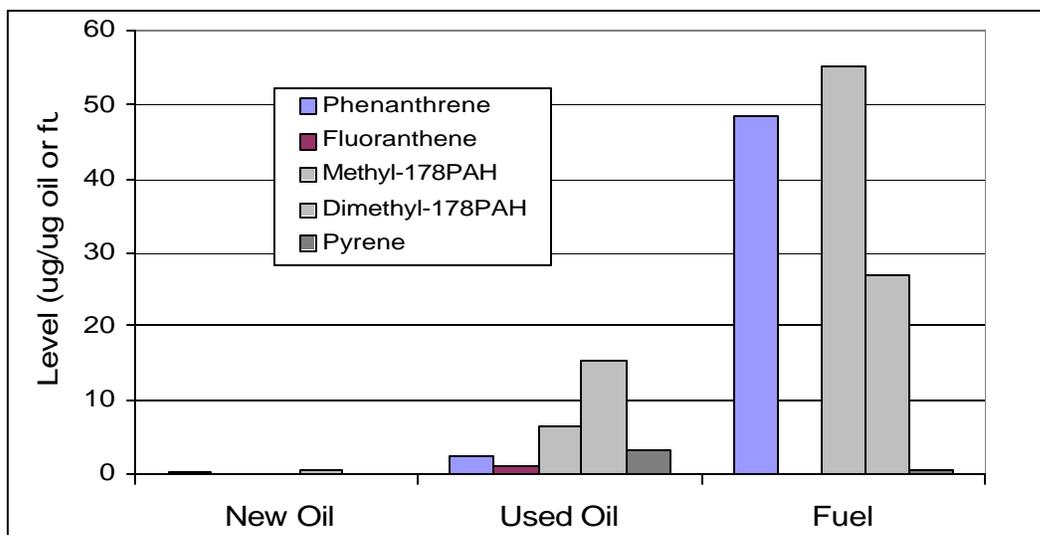


Figure 10. PAHs detected in the diesel fuel and new and used lubricating oil.

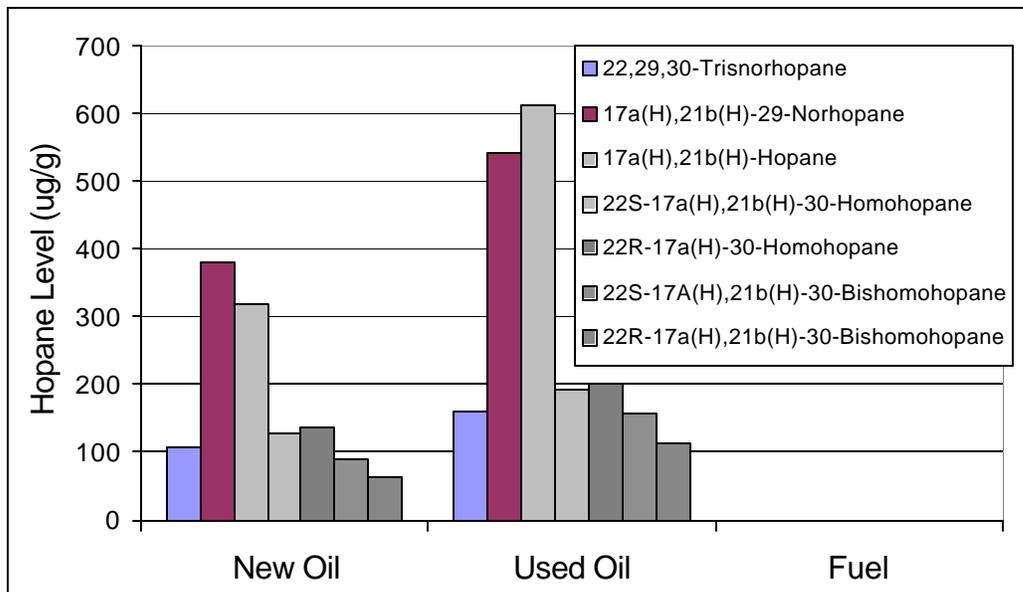


Figure 11. Hopanes detected in the diesel fuel and new and used lubricating oil.

### **Biological (Mutagenic) Activity Determinations**

Tables 15 and 16 summarize the normalized daily and weighted weekly means (and SD) of the biological (Ames) activity detected with the SOF samples from the downwind and upwind locations, respectively. As has been found generally with studies of DPM organic extracts (e.g., Bagley et al. 1995, 1996), the highest activity was direct-acting in nature, i.e., metabolic activation was not required. The responses between TA98 and TA100 were similar, with TA98 having slightly higher responses for the downwind samples and TA100 having slightly higher values for the upwind samples. This indicates that the detected activity was probably primarily due to frameshift mutations, as is also typical for DPM organic extracts.

A comparison of the biological activity results between weeks 1 and 2 are presented in Table 12, with values presented as % change between week 1 and 2 emissions and significantly different changes noted in boldface. (These ttest statistics are presented in Table C-7.) All of the weighted mean values decreased with use of the later model engines, with reductions ranging from about 30 to about 65%. However, due to the higher variability between sampling days, only the TA100 change at the downwind location was found to be statistically significant. As with the PAH reductions, the biological activity reductions (up to about 65%) were greater than the reductions in SOF levels (up to 25%) Table 12). Along with the absence of detectable nitro-PAH, this could indicate larger changes in the chemical composition of the SOF from the late-model engines that resulted in less biologically active compounds (based on these assays).

Table 15. Biological (Ames) activity detected from the downwind location.  
[presented as normalized daily values and weekly mean and SD concentrations  
(krevertants/m<sup>3</sup>)]

Week	Date	TA98-S9	TA98+S9	TA100-S9
1	02/21/00	2.65	0.38	NT
	02/22/00	2.34	0.43	2.49
	02/23/00	1.73	0.60	1.33
	02/24/00	1.42	0.16	1.26
	02/25/00	2.25	0.41	NT
Week 1 - Weighted		2.08 (0.49)	0.40 (0.16)	1.69 (0.69)
2	02/28/00	1.01	0.11	0.54
	02/29/00	1.82	0.35	1.04
	03/01/01	1.05	0.22	0.58
	03/02/00	2.07	0.32	1.02
	03/03/00	1.52	0.25	0.67
Week 2 - Weighted		1.49 (0.47)	0.25 (0.09)	0.77 (0.24)

TA98/TA100 *S. typhimurium* tester strains, S9 Mammalian metabolic activation system, SD Standard deviation. NT not tested

Table 16. Biological (Ames) activity detected from the upwind location.  
[presented as normalized daily values and weekly mean and SD concentrations  
(krevertants/m<sup>3</sup>)]

Week	Date	TA98-S9	TA98+S9	TA100-S9
1	02/22/00	3.57	0.67	6.59
	02/23/00	1.60	0.11	2.55
	02/24/00	1.43	0.18	3.17
	02/25/00	3.21	0.38	2.18
Week 1 - Weighted		2.46 (1.10)	0.34 (0.26)	4.11 (2.02)
2	02/28/00	3.20	0.28	3.97
	02/29/00	1.92	0.08	2.50
	03/01/01	0.79	0.04	0.13
	03/02/00	1.48	0.12	0.57
	03/03/00	1.03	0.10	1.59
Week 2 - Weighted		1.68 (0.95)	0.12 (0.09)	1.75 (1.55)

TA98/TA100 *S. typhimurium* tester strains, S9 Mammalian metabolic activation system, SD Standard deviation, NT not tested, due to insufficient mass available.

Data from samples collected on 02/21/00 were not used due to sampler problems.

## CONCLUSIONS

The overall conclusion from this study is that use of electronically controlled, modern diesel engines with a low sulfur fuel in this underground mine resulted in large reductions in DPM and all DPM-related components. The measured potentially health related components showed similar reductions. However, the use of these engines cannot be relied upon to reduce concentrations below  $0.15 \text{ mg/m}^3$  in all circumstances.

Specific conclusions related to specific measured parameters are as follows.

1. The EC and SS sampling methods were both found to work well in an underground mine environment. This study also demonstrated that instruments such as the DC, PAS and CPC could be used to track diesel activity on a real time basis in underground mines. However, problems were encountered when using the nano-MOUDI that rendered it essentially inoperable in this type of a mine.
2. The TC and EC levels at the downwind location were reduced by at least 60% with use of the electronically controlled diesel engines; the resulting TC level was fairly close to the MSHA interim standard of  $0.4 \text{ mg/m}^3$ . OC levels were also reduced but to a lesser extent, consistent with the engine's technology changes affecting the EC component of the DPM.
3. As determined from the SS samples, the reductions in DPM at the downwind location were not as great as for the TC (about 40% decreases in  $<0.8 \text{ }\mu\text{m}$  particles and RD). As determined in this mine, the DPM levels with the late model engines (and no control devices) would not reach the target value of  $0.15 \text{ mg/m}^3$ .
4. All of the near real-time aerosol measurements at the downwind location (DC, PAS, and CPC) showed about 50 to 60% decreases in levels with use of the late model engines. The magnitude of the decreases was similar to that found for EC and TC at the same locations, indicating that these parameters have close correlations. There was also no evidence of increased production of nano- (or nuclei-mode) particles with use of the late model engines.
5. The DPM values obtained from the high-volume samplers represent potentially worst case levels in this mine. These reductions with the late model engines do correlate with results obtained from full-shift samplers, in particular the TC (analogous to DPM) and EC (analogous to solids).
6. The PAH and biological (mutagenic) activity levels (associated with the organics extracted from DPM collected with the high-volume samplers) also showed large decreases with use of the electronically controlled diesel engines (by up to about 90% and 65%, respectively).

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